

# Modal Fixpoint Logics: When Logic Meets Games, Automata and Topology

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Lecture I

## **Rudiments of fixpoint logics**

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How to define a big object shortly ?

How to define an infinite object at all ?

## Recursion

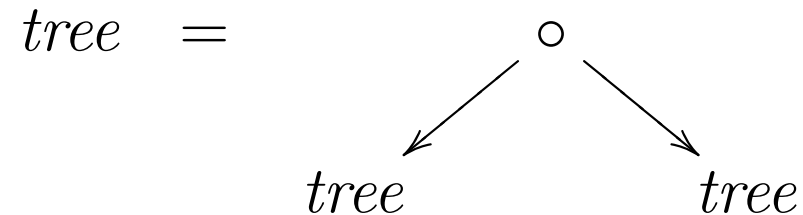


## Perpetuum mobile



Complex concepts in mathematics are often defined in recursive way.

This may involve risky steps like



The correctness relies on the existence of *fixed points*.

## Example

Let  $u$  be a sequence of bits, such that the rewriting

$0 \rightarrow 01$

$1 \rightarrow 10$

produces the same sequence.

□ □ □ □ ■ □ □ □ □ □ □ □ □ ■ □ □ □ □ . . . . .

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Does it exist ??

## Example Thue-Morse sequence

$0 \rightarrow 01$

$1 \rightarrow 10$

$u_0$	0																
$u_1$	0								1								
$u_2$	0				1				1				0				
$u_3$	0		1		1		0		1		0		0		1		
$u_4$	0	1	1	0	1	0	0	1	1	0	0	1	0	1	1	0	
	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.

011010011001011010010110011010011001011001101001011010010110100110010110...

$\lim u_n$  is a fixed point  $u = u[01/0, 10/1]$ .

## Fixed point of a function

$$x = f(x) = f(f(x)) = f(f(f(x))) = f(f(f(f(x)))) = \dots$$

*Plus ça change, plus c'est la même chose.* Alphonse Karr, 1849

## Fixed point theorems

**Brouwer** A continuous mapping of a closed ball into itself has a fixed point.

**Banach** A contracting mapping of a complete metric space into itself has a (unique) fixed point.

**Knaster-Tarski** A monotonic mapping of a complete lattice into itself has a (least) fixed point.

.....

## Example von Neumann definition of $\mathbb{N}$

The least set  $X$ , such that  $\emptyset \in X$  and  $x \in X \implies x \cup \{x\} \in X$ .

$$\underbrace{\{\emptyset\} \cup \{x \cup \{x\} : x \in X\}}_Z \subseteq X$$

$$\{\emptyset\} \cup \{z \cup \{z\} : z \in Z\} \stackrel{?}{\subseteq} Z$$

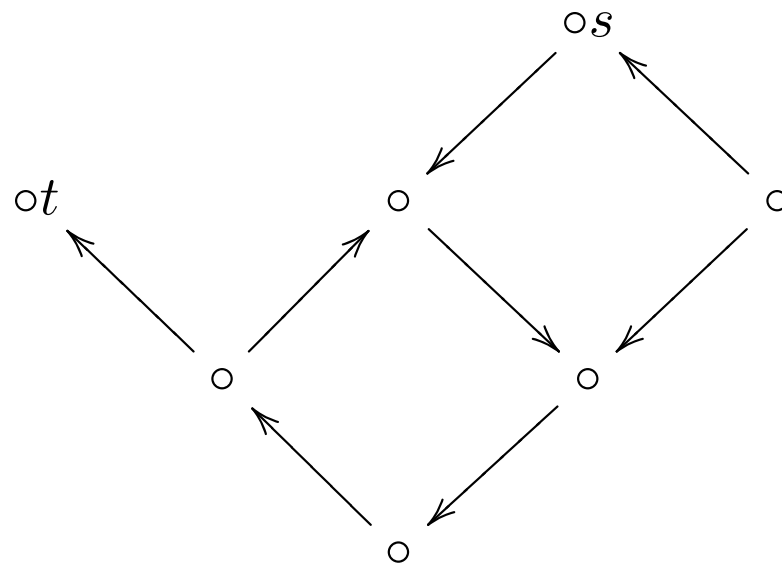
$$z = x \cup \{x\} \wedge x \in X \implies z \in X \implies z \cup \{z\} \in Z.$$

Yes! Hence,

$$\{\emptyset\} \cup \{x \cup \{x\} : x \in \mathbb{N}\} = \mathbb{N}$$

## Example – reachability

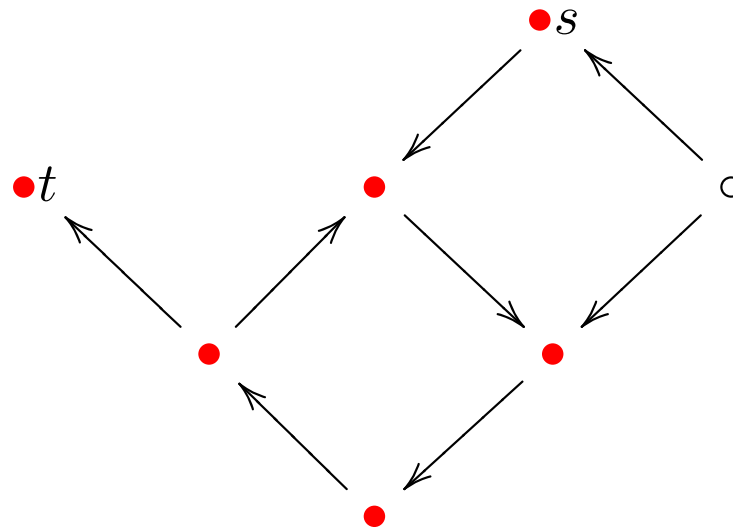
Is there a path from  $s$  to  $t$  ?



There a path from  $s$  to  $t$  iff  $t$  belongs to the **least** set of nodes  $X$ , s.t.

$$\{s\} \cup succ(X) \subseteq X$$

where  $succ(X) = \{y : (\exists x \in X) x \rightarrow y\}$ .



Note: this  $X$  is a **fixed point**, because  $Z = \{s\} \cup succ(X)$  also satisfies  $\{s\} \cup succ(Z) \subseteq Z$ .

## Why do we care about fixed points ?

Knowing that the least  $X$  s.t.  $\{s\} \cup succ(X) \subseteq X$  satisfies

$$X = \{s\} \cup succ(X)$$

we can compute it by iteration

$$\{s\}$$

$$\{s\} \cup succ(\{s\})$$

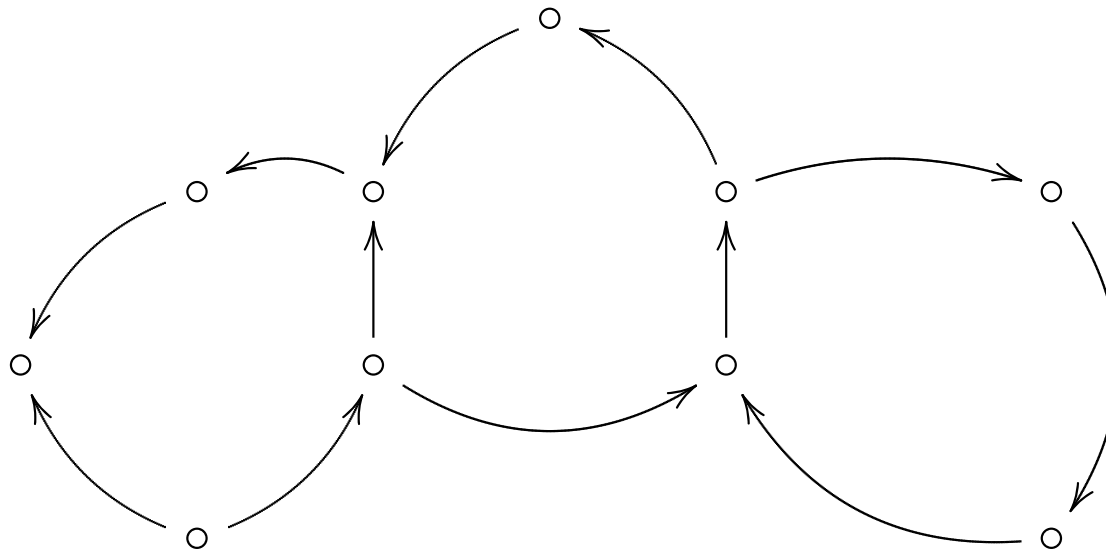
$$\{s\} \cup succ(\{s\}) \cup succ(succ(\{s\}))$$

.....

until it stops changes

$$X = \emptyset \cup F(\emptyset) \cup F^2(\emptyset) \cup F^3(\emptyset) \cup \dots$$

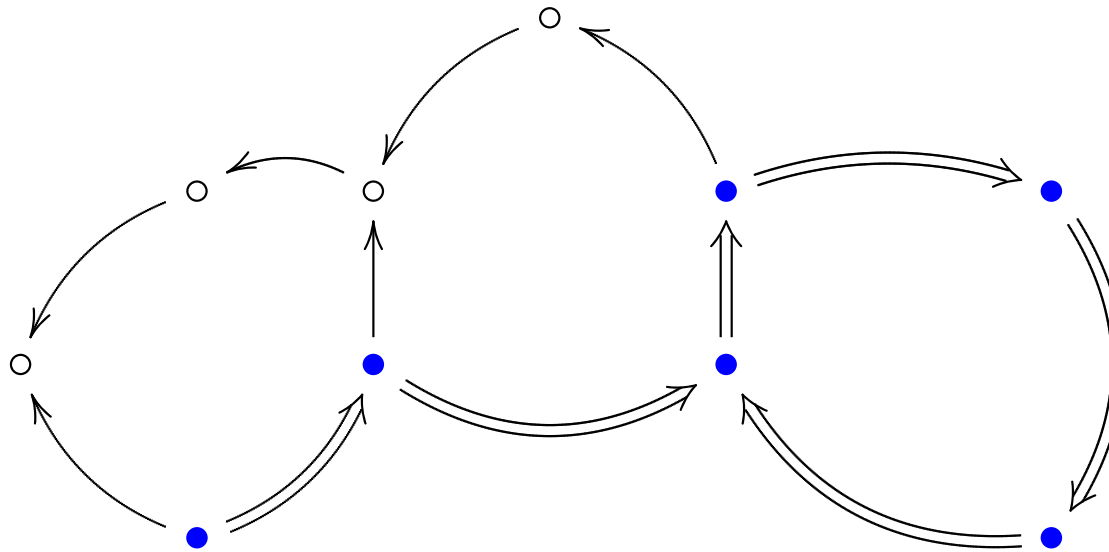
## Example – infinite path



Does this graph admit an infinite path? An exhaustive search is costly...

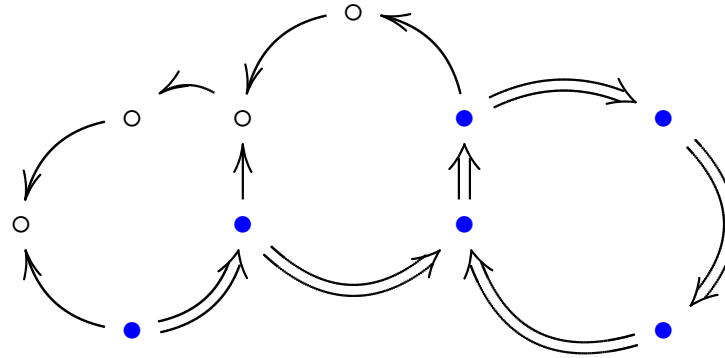
Try to characterize the **nodes**, which **originate** infinite paths.

## Example – infinite path



The nodes, which originate infinite paths (**Origin- $\infty$** ) could say:

**I am lucky there, because after some move I can be lucky again.**



If a set  $Z$  satisfies the “**luckiness property**”

$$x \in Z \implies (\exists z \in Z) x \rightarrow z$$

shorter notation:  $Z \subseteq \Diamond(Z)$

then any  $z \in Z$  originates an infinite path, i.e.,  $Z \subseteq \mathbf{Origin}\text{-}\infty$ . But

$$\mathbf{Origin}\text{-}\infty \subseteq \Diamond(\mathbf{Origin}\text{-}\infty)$$

hence,  $\mathbf{Origin}\text{-}\infty$  is a **maximal** set with luckiness property.

A **maximal** set satisfying the inequality  $Z \subseteq \diamond(Z)$  is a **fixed point**

$$Z = \diamond(Z)$$

(otherwise  $Z \subset \underline{\diamond(Z)} \subseteq \underline{\diamond(\underline{\diamond(Z)})}$ ).

Hence, it can be **computed** by iteration

$$\text{Origin-}\infty = \bigcap_{\xi} \diamond^{\xi}(\mathbb{T})$$

On finite graphs, this yields a polynomial time algorithm.

General setting: **Knaster-Tarski Theorem**

A monotone mapping  $f : L \rightarrow L$  of a complete lattice  $L$  has a least fixed point

$$\mu x. f(x) = \bigwedge \{d : f(d) \leq d\}$$

and a greatest fixed point

$$\nu x. f(x) = \bigvee \{d : d \leq f(d)\}$$

Proof for  $\nu$ .

Let  $a = \bigvee \underbrace{\{z : z \leq f(z)\}}_A$ .

$a \geq A \ni z \leq f(z) \leq f(a)$ . Thus  $A \leq f(a)$ , hence  $a \leq f(a)$ .

By monotonicity,  $f(a) \leq f(f(a))$ , hence  $f(a) \in A$ , hence  $f(a) \leq a$ .

Alternative presentation of fixed points.

$$\mu x.f(x) = \bigvee_{\xi \in Ord} f^\xi(\perp)$$

where

$$\begin{aligned} f^{\xi+1}(\perp) &= f(f^\xi(\perp)) \\ f^\eta(\perp) &= \bigvee_{\xi < \eta} f^\xi(\perp), \text{ for limit } \eta. \end{aligned}$$

Similarly

$$\nu x.f(x) = \bigwedge_{\xi \in Ord} f^\xi(\top)$$

A great number of concepts can be defined by  $\mu$  or  $\nu$ .

But the **fixpoint logics** start from an observation that

$$\mu x. \nu y. f(x, y),$$

is meaningful as well.

$$\mu x. \nu y. f(x, y)$$

$$\parallel$$

$$x = \nu y. f(x, y)$$

$$\parallel$$

$$y = f(x, y)$$

Note that  $a = \mu x. \nu y. f(x, y)$  satisfies  $a = f(a, a)$ , hence

$$\mu x. f(x, x) \leq \mu x. \nu y. f(x, y) \leq \nu y. f(y, y)$$

## Example – words

Languages of finite and infinite words over alphabet  $\Sigma$ .

$\varepsilon \notin A \subseteq \Sigma^*$ ,  $B \subseteq \Sigma^* \cup \Sigma^\omega$ ,  $X, Y$  range over  $\wp(\Sigma^* \cup \Sigma^\omega)$ ,

$A^* = \bigcup_n A^n$  (with  $A^0 = \{\varepsilon\}$ ),  $A^\omega = \{w_0w_1w_2 \dots : w_i \in A, i < \omega\}$ .

	$X \stackrel{?}{=} AX \cup B$
least solution	$X = A^*B$
greatest solution	$X = A^*B \cup A^\omega$
i.e.,	$\mu X. AX \cup B = A^*B$
	$\nu X. AX \cup B = A^*B \cup A^\omega.$

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Note	$\mu X. AX = \emptyset$
	$\nu X. AX = A^\omega$

Further

$$\mu X. \textcolor{blue}{A}X \cup \textcolor{blue}{B}Y = \textcolor{blue}{A}^* \textcolor{blue}{B}Y$$

$$Y \stackrel{?}{=} \textcolor{blue}{A}^* \textcolor{blue}{B}Y$$

greatest solution

$$Y = (\textcolor{blue}{A}^* \textcolor{blue}{B})^\omega$$

i.e.,

$$\textcolor{red}{\nu}Y. \mu X. \textcolor{blue}{A}X \cup \textcolor{blue}{B}Y = (\textcolor{blue}{A}^* \textcolor{blue}{B})^\omega$$


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$$\textcolor{red}{\nu}Y. \textcolor{blue}{A}X \cup \textcolor{blue}{B}Y = \textcolor{blue}{B}^* \textcolor{blue}{A}X \cup \textcolor{blue}{B}^\omega$$

$$X \stackrel{?}{=} \textcolor{blue}{B}^* \textcolor{blue}{A}X \cup \textcolor{blue}{B}^\omega$$

$$\mu X. \textcolor{red}{\nu}Y. \textcolor{blue}{A}X \cup \textcolor{blue}{B}Y = (\textcolor{blue}{B}^* \textcolor{blue}{A})^* \textcolor{blue}{B}^\omega$$

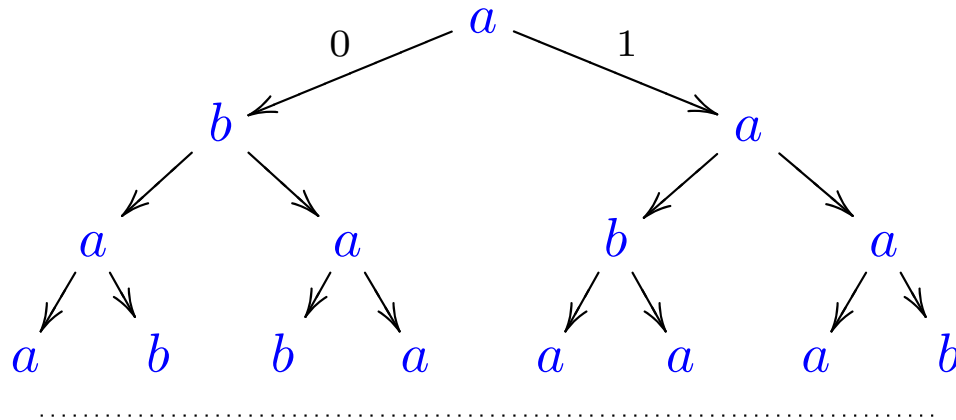

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Note

$$\mu X. \textcolor{red}{\nu}Y. \textcolor{blue}{A}X \cup \textcolor{blue}{B}Y \subseteq \textcolor{red}{\nu}Y. \mu X. \textcolor{blue}{A}X \cup \textcolor{blue}{B}Y$$

## Example – trees

A (full binary)  $\Sigma$ -labeled tree is a mapping  $t : 2^* \rightarrow \Sigma$ .



Each  $\sigma \in \Sigma$  induces an operation on trees

$$\sigma(t_1, t_2) =$$

and consequently on tree languages  $L_1, L_2 \subseteq T_\Sigma$

$$\sigma(L_1, L_2) = \{\sigma(t_1, t_2) : t_1 \in L_1, t_2 \in L_2\}$$

## Example – trees continued

Let  $\Sigma = \{a, b\}$ .

$\nu y. \mu x. a(x, x) \cup b(y, y)$  = on each path there are  
**infinitely many  $b$ 's**

i.e., all paths are in  $\nu y. \mu x. ax \cup by$ ,

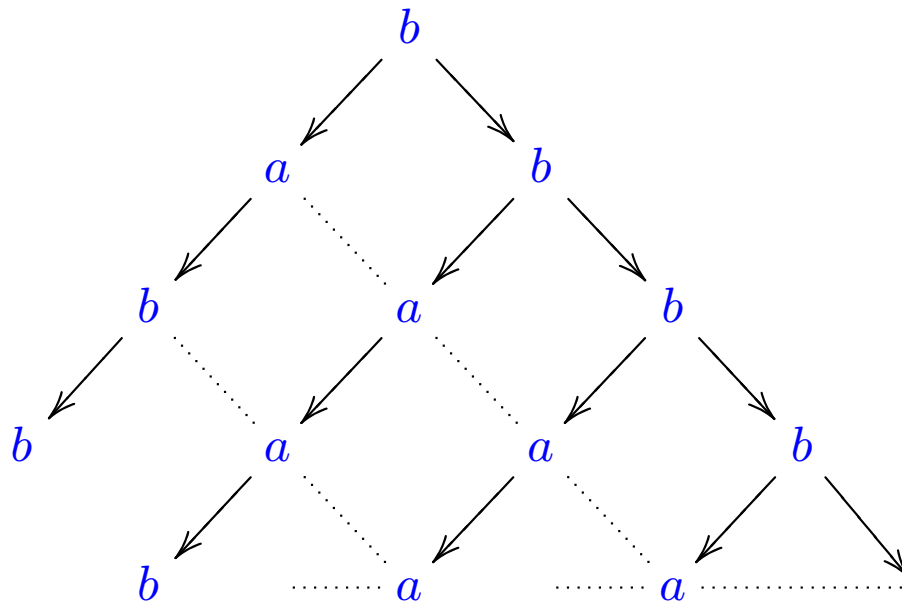
$\mu x. \nu y. a(x, x) \cup b(y, y)$  = on each path there are  
**only finitely many  $a$ 's**

i.e., all paths are in  $\mu x. \nu y. ax \cup by$ .

Again  $\mu x. \nu y \dots \subseteq \nu y. \mu x \dots$

## Parenthesis.

$\mu x. \nu y. a(x, x) \cup b(y, y) =$  on each path there are only finitely many  $a$ 's



This set encodes the set of well founded trees  $T \subseteq \omega^*$ , and can be proved  $\Pi_1^1$ -complete, as a subset of the Cantor space  $\{0, 1\}^\omega$ .

## Example – trees continued

The pattern can be generalized.

$$\begin{aligned}\mu x_1. \nu x_0. \quad & a_0(x_0, x_0) \cup a_1(x_1, x_1) \\ \nu x_2. \mu x_1. \nu x_0. \quad & a_0(x_0, x_0) \cup a_1(x_1, x_1) \cup a_2(x_2, x_2) \\ \mu x_3. \nu x_2. \mu x_1. \nu x_0. \quad & a_0(x_0, x_0) \cup a_1(x_1, x_1) \cup a_2(x_2, x_2) \cup a_3(x_3, x_3) \\ & \dots\dots\dots \end{aligned}$$

On each path, if some  $a_i$  with  $i$  **odd** occurs infinitely often then there is some  $a_j$  with  $j$  **even**, which also occurs infinitely often, and  $j > i$ .

In short: the **highest k**, such that  $a_k$  occurs infinitely often on a path, is **even**.

## Basic laws of fixed points

$$\mu x. \mu y. f(x, y) = \mu x. f(x, x)$$

$$\nu x. \nu y. f(x, y) = \nu x. f(x, x)$$

$$\mu x. \nu y. f(x, y) \leq \nu y. \mu x. f(x, y)$$

If  $a = \theta x. \theta' y. f(x, y)$  then

$$\begin{aligned} a &= \theta' y. f(a, y) \\ &= \theta x. f(x, a) \end{aligned}$$

## Example – quasi-equational proof

$$\underbrace{\mu x. \nu y. f(x, y)}_a \leq \nu y. \mu x. f(x, y)$$

$a = f(a, a)$  implies  $\mu x. f(x, a) \leq a$ . By monotonicity of  $\nu y. f(z, y)$  (in  $z$ )

$$\nu y. f(\underline{\mu x. f(x, a)}, y) \leq \nu y. f(\underline{a}, y) = a$$

By monotonicity of  $f$

$$f(\mu x. f(x, a), \underline{\nu y. f(\mu x. f(x, a), y)}) \leq f(\mu x. f(x, a), \underline{a})$$

By reducing both sides ( $F(\theta x. F(x)) \rightarrow \theta x. F(x)$ )

$$\nu y. f(\underline{\mu x. f(x, a)}, y) \leq \underline{\mu x. f(x, a)}$$

By Knaster-Tarski Theorem this implies  $(\underline{a} =) \mu x. \nu y. f(x, y) \leq \mu x. f(x, \underline{a})$ .

Again by Knaster-Tarski,  $a \leq \nu y. \mu x. f(x, y)$ .

## Vectorial fixed points – Bekič Principle

Let  $(L, \leq_L)$ ,  $(K, \leq_K)$  be two complete lattices and

$$F : L \times K \rightarrow L \times K$$

be monotonic in two arguments. Let  $F = (F_1, F_2)$ . Then

$$\mu \begin{pmatrix} x \\ y \end{pmatrix} . F(x, y) = \begin{pmatrix} \mu x . F_1(x, \mu y . F_2(x, y)) \\ \mu y . F_2(\mu x . F_1(x, y), y) \end{pmatrix}$$

Thus vectors can be eliminated at the expense of increasing the length.

## Fixed point clones

A family  $\mathcal{C}$  of monotonic mappings of a finite arity over a complete lattice  $L$  is a **clone** if it is closed under composition and contains all projections  $\pi_k^i : L^k \rightarrow L$ ,

$$\pi_k^i : (a_1, \dots, a_k) \mapsto a_i$$

It is a  **$\mu$ -clone** if moreover is closed under  $\mu$ , i.e.,

$$\mathcal{C} \ni f(x_1, \dots, x_k) \implies \mu x_i. f(x_1, \dots, x_k) \in \mathcal{C}.$$

A  **$\nu$ -clone** is defined similarly.

$\text{Comp}(\mathcal{F})$     the least clone

$\mu(\mathcal{F})$         the least  $\mu$ -clone

$\nu(\mathcal{F})$         the least  $\nu$ -clone    containing  $\mathcal{F}$

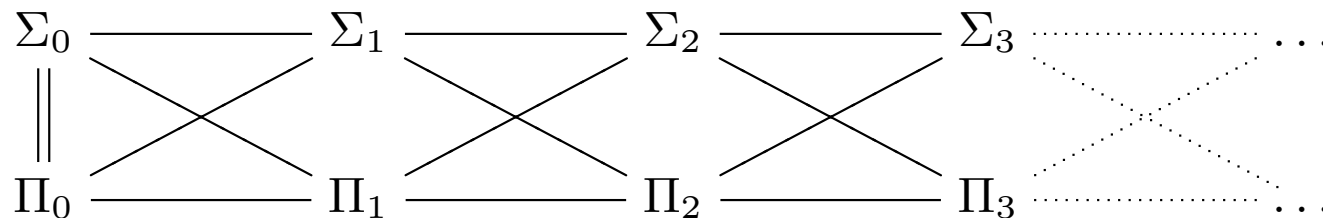
## Fixed point hierarchy

$$\Sigma_0^\mu(\mathcal{F}) = \Pi_0^\mu(\mathcal{F}) = \text{Comp}(\mathcal{F})$$

$$\Sigma_{n+1}^\mu(\mathcal{F}) = \mu(\Pi_n^\mu(\mathcal{F}))$$

$$\Pi_{n+1}^\mu(\mathcal{F}) = \mu(\Sigma_n^\mu(\mathcal{F}))$$

$$fp(\mathcal{F}) = \bigcup_n \Sigma_n^\mu(\mathcal{F}) = \bigcup_n \Pi_n^\mu(\mathcal{F})$$



The hierarchy is in general strict.

## Scalar vs. vectorial fixed points

Operations in  $\Sigma_n^{\mu}(\mathcal{F})$  can be characterized as components of vectorial fixed points

$$\mu \begin{pmatrix} x_{1,1} \\ x_{1,2} \\ \dots \\ x_{1,k} \end{pmatrix} \cdot \nu \begin{pmatrix} x_{2,1} \\ x_{2,2} \\ \dots \\ x_{2,k} \end{pmatrix} \dots \theta \begin{pmatrix} x_{k,1} \\ x_{k,2} \\ \dots \\ x_{n,k} \end{pmatrix} \cdot F(\vec{x}, \vec{z})$$

with the components of  $F$  in  $\mathcal{F}$  (or projections).

## De Morgan laws for fixed points

If a complete lattice  $L$  is a Boolean algebra (with  $\bar{x} = \top - x$ ) then

$$\begin{aligned} x = f(x) &\implies \bar{x} = \overline{f(x)} \\ &= \overline{f(\bar{x})} \end{aligned}$$

Thus a complement of a fixed point of  $f$  is a fixed point of the **dual function**  $\widetilde{f} : x \mapsto \overline{f(\bar{x})}$ .

Hence

$$\begin{aligned} \overline{\mu x. f(x)} &= \nu x. \widetilde{f}(x) \\ \overline{\nu x. f(x)} &= \mu x. \widetilde{f}(x) \end{aligned}$$

## Formal syntax: $\mu$ -terms

$Sig$  is a finite set of function symbols of finite arity.

$x$

$f(t_1, \dots, t_k) \quad \widetilde{f}(t_1, \dots, t_k) \quad \text{for } f \in Sig \text{ of arity } k$

$\mu x.t$

$\nu x.t$

## Interpretation: powerset algebras

This framework generalizes the modal  $\mu$ -calculus and previous examples.

A semi-algebra  $\mathbb{B} = \langle B, f^{\mathbb{B}}, g^{\mathbb{B}}, c^{\mathbb{B}}, \dots \rangle$  over signature  $Sig = \{f, g, c, \dots\}$

$$f^{\mathbb{B}}(d_1, \dots, d_k) \doteq b \quad \text{means} \quad (d_1, \dots, d_k, b) \in f^{\mathbb{B}} \subseteq B^{k+1}$$

for  $f \in Sig$  of arity  $k$

### Powerset algebra

$$\wp \mathbb{B} = \left\langle \langle \wp B, \subseteq \rangle \{f^{\wp \mathbb{B}} : f \in Sig\} \cup \{\tilde{f}^{\wp \mathbb{B}} : f \in Sig\} \right\rangle$$

$$f^{\wp \mathbb{B}}(L_1, \dots, L_k) = \{b : (\exists a_1 \in L_1 \dots \exists a_k \in L_k) f^{\mathbb{B}}(a_1, \dots, a_k) \doteq b\},$$

$$\tilde{f}^{\wp \mathbb{B}}(L_1, \dots, L_k) = \overline{f^{\wp \mathbb{B}}(\overline{L_1}, \dots, \overline{L_k})}$$

$$= \{b : (\forall \vec{a}) f^{\mathbb{B}}(a_1, \dots, a_k) \doteq b \implies (\exists i) a_i \in L_i\}.$$

## Recall

$$f^{\wp \mathbb{B}}(L_1, \dots, L_k) = \{b : (\exists a_1 \in L_1 \dots \exists a_k \in L_k) f^{\mathbb{B}}(a_1, \dots, a_k) \doteq b\},$$

$$\widetilde{f}^{\wp \mathbb{B}}(L_1, \dots, L_k) = \overline{f^{\wp \mathbb{B}}(\overline{L_1}, \dots, \overline{L_k})}$$

## The set-theoretic operations

We assume that  $\mathbb{B}$  has a partial operation  $eq$

$$eq^{\mathbb{B}}(a, b) \doteq c \iff a = b = c$$

Then  $\cap, \cup$  can be retrieved by

$$eq^{\wp \mathbb{B}}(L_1, L_2) = \{c : (\exists a \in L_1, \exists b \in L_2) a = b = c\}$$

$$= L_1 \cap L_2$$

$$\widetilde{eq}^{\wp \mathbb{B}}(L_1, L_2) = L_1 \cup L_2$$

## Powerset algebra of words

universe      operations

$$\Sigma^* \cup \Sigma^\omega \quad \sigma w \quad \text{for } \sigma \in \Sigma, \quad w \text{ in universe}$$

## Powerset algebra of trees

universe      operations

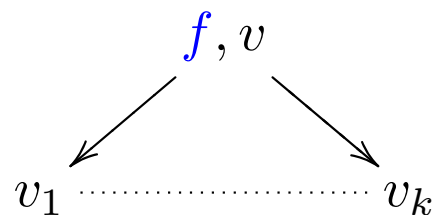
$$T_{\text{Sig}} \quad f(t_1, \dots, t_k) \quad \text{for } f \in \text{Sig}, \quad t_1, \dots, t_k \text{ in universe}$$

## Powerset algebra of a single tree $t \in T_{\text{Sig}}$

$$t : \omega^* \supseteq \text{dom } t \rightarrow \text{Sig}$$

universe      operations

$$\text{dom } t \quad f(v_1, \dots, v_k) \doteq v$$



whenever  $t(v) = f$

# The modal $\mu$ -calculus of Kozen

## Syntax

$$\begin{array}{ll} x & \\ p & \neg p \\ \varphi \vee \psi & \varphi \wedge \psi \\ \Diamond \varphi & \Box \varphi \\ \mu x. \varphi(x) & \nu x. \varphi(x) \end{array}$$

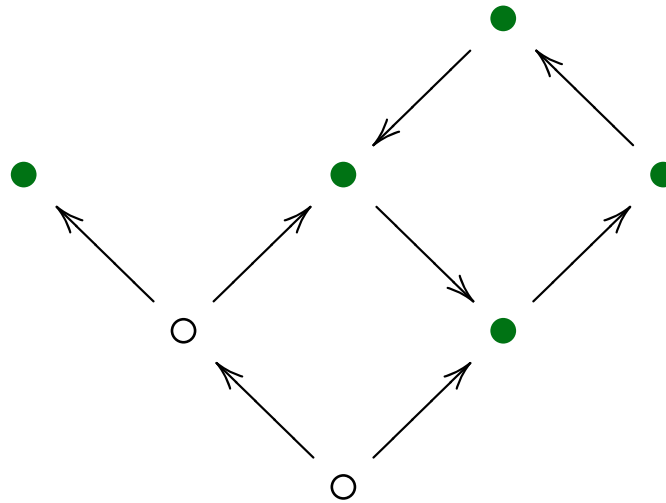
## Interpretation in Kripke structures

$\mathcal{K} = \langle S, R, \rho \rangle$ , with  $R \subseteq S \times S$ , and  $\rho : \text{Prop} \rightarrow \wp S$ .

$\llbracket \varphi \rrbracket_{\mathcal{K}}(v) \subseteq S$ , for  $v : \text{Var} \rightarrow \wp S$

$\llbracket \Diamond \varphi \rrbracket_{\mathcal{K}}(v) = \{s : (\exists s') R(s, s') \wedge s' \in \llbracket \varphi \rrbracket_{\mathcal{K}}(v)\}$

$\llbracket \mu x. \varphi \rrbracket_{\mathcal{K}}(v) = \mu X. \llbracket \varphi \rrbracket_{\mathcal{K}}(v[X/x])$ .



E.g.,

$$\mu x. \nu y. \Box y \wedge (\textit{Happy} \vee \Box x)$$

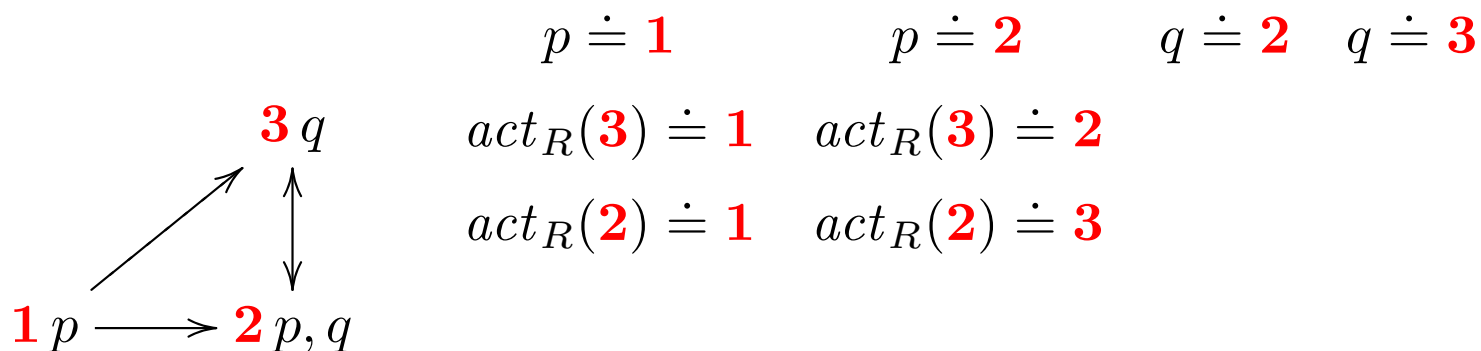
On each path, I will be happy from some moment on.

## Kripke structure as semi-algebra

$\mathcal{K} = \langle S, R, \rho \rangle$ , with  $R \subseteq S \times S$ , and  $\rho : \text{Prop} \rightarrow \wp S$  can be identified with a semi-algebra  $\mathbb{K}$ .

signature	universe	operations
$\text{Prop} \cup \{act_R\}$	$S$	$\rho(p) \subseteq S$ , for $p \in \text{Prop}$ ; $act_R = R^{-1}$ i.e., $act_R(z) \doteq y$ iff $R(y, z)$ $act_R(Z) \approx \Diamond Z$

### Example



This induces a **translation**  $\alpha : \varphi \mapsto t_\varphi$  of the formulas of  $L_{\mu}$  into  $\mu$ -terms.

$$\alpha : \quad x \mapsto x$$

$$p \mapsto p$$

$$\neg p \mapsto \tilde{p}$$

$$(\varphi \wedge \psi) \mapsto eq(\alpha(\varphi), \alpha(\psi)) \quad (\varphi \vee \psi) \mapsto \tilde{eq}(\alpha(\varphi), \alpha(\psi))$$

$$\Diamond \varphi \mapsto act_R(\alpha(\varphi))$$

$$\Box \varphi \mapsto \widetilde{act_R}(\alpha(\varphi))$$

$$\mu x. \varphi \mapsto \mu x. \alpha(\varphi)$$

$$\nu x. \varphi \mapsto \nu x. \alpha(\varphi)$$

For a sentence  $\varphi$ ,

$$s \in \llbracket \varphi \rrbracket_{\mathcal{K}} \quad \text{iff} \quad s \in \alpha(\varphi)^{\wp \mathbb{K}}.$$

**How to understand fixed point formulas ?**

$$\mu x. \nu y. \Diamond (x \wedge \Box (y \vee \mu z. \Diamond (x \wedge \Box (y \vee z))))$$

## How to understand fixed point formulas ?

$$\mu x. \nu y. \Diamond (x \wedge \Box (y \vee \mu z. \Diamond (x \wedge \Box (y \vee z))))$$

A useful tool is games.



ICALP 2014. Courtesy of Henryk Michalewski

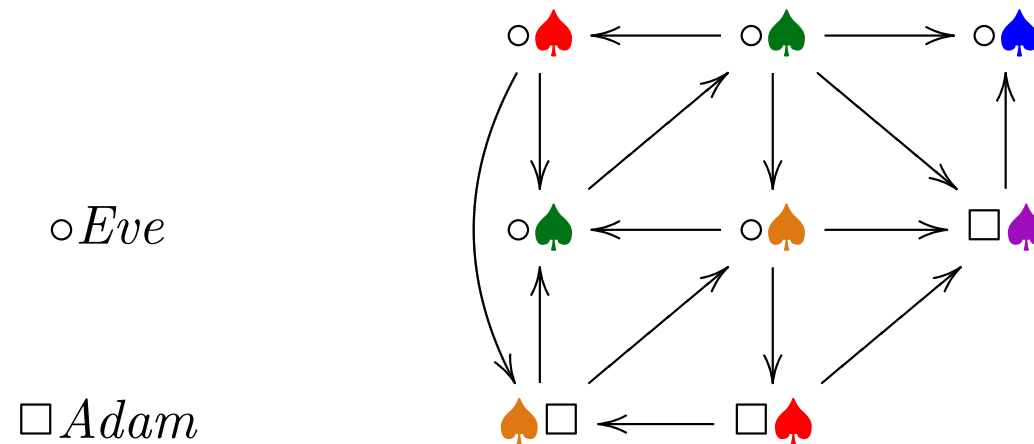
## Games on graphs

$$G = \langle Pos_{\exists}, Pos_{\forall}, Move, C, rank, W_{\exists}, W_{\forall} \rangle,$$

where  $Pos = Pos_{\exists} \dot{\cup} Pos_{\forall}$ ,  $Move \subseteq Pos \times Pos$ ,

$rank : Pos \rightarrow C$ ,

$W_{\exists}, W_{\forall} \subseteq C^{\omega}$ , typically  $W_{\forall} = \overline{W_{\exists}}$ .



## Game equations

If the winning criterion  $W_{\exists}$  is independent on finite prefixes then the set of **winning positions of Eve** satisfies

$$X = (E \cap \Diamond X) \cup (A \cap \Box X) =_{def} Eve(X)$$

and the set of **winning positions of Adam**

$$Y = (A \cap \Diamond Y) \cup (E \cap \Box Y) =_{def} Adam(Y)$$

where  $E, A$  are interpreted as  $Pos_{\exists}, Pos_{\forall}$ , respectively.

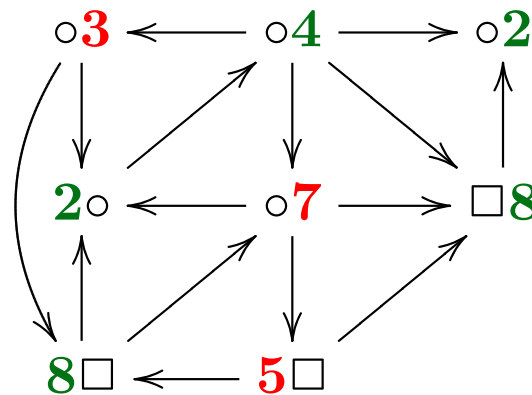
Note  $X = Eve(X)$  iff  $\overline{X} = Adam(\overline{X})$ , implying

$$\overline{\mu.Eve(X)} = \nu Y. Adam(Y).$$

**Question.** For which game is the winning set a **least** (resp. **greatest**) solution on the game equation ?

## Parity games

$C \subseteq \omega$  (finite).



Eve wants to visit **even** priorities infinitely often.

Adam wants to visit **odd** priorities infinitely often.

**Maximal** priority wins.

$$W_{\exists} = \{u \in C^{\omega} : \limsup_{n \rightarrow \infty} u_n \text{ is even} \}$$

$$W_{\forall} = \{u \in C^{\omega} : \limsup_{n \rightarrow \infty} u_n \text{ is odd} \}.$$

Parity games are intimately linked to the  $\mu$ -calculus.

Eve's winning set (for  $C = \{0, 1, 2, 3\}$ ) is

$$\begin{aligned} \nu X_4. \mu X_3. \nu X_2. \mu X_1. \nu X_0. \quad & (E \cap rank_0 \cap \Diamond X_0) \cup \\ & (E \cap rank_1 \cap \Diamond X_1) \cup \\ & (E \cap rank_2 \cap \Diamond X_2) \cup \\ & (E \cap rank_3 \cap \Diamond X_3) \cup \\ & (A \cap rank_0 \cap \Box X_0) \cup \\ & (A \cap rank_1 \cap \Box X_1) \cup \\ & (A \cap rank_2 \cap \Box X_2) \cup \\ & (A \cap rank_3 \cap \Box X_3) \end{aligned}$$

Note: its is a fixed point of  $X = (E \cap \Diamond X) \cup (A \cap \Box X)$ .

## Game semantics for the $\mu$ -calculus

We define a parity game  $\mathcal{G}(\mathbb{B}, t)$ , such that, for  $b \in B$

$$b \in t^{\otimes \mathbb{B}} \quad \text{iff} \quad \text{Eve wins the game } \mathcal{G}(\mathbb{B}, t) \text{ from position } (b, t).$$

First, the variables should be indexed properly

$$\begin{aligned} & \mu x . \nu y . f(x, y, \mu z . \nu w . f(x, z, w)) \\ & \mu x_3 . \nu x_2 . f(x_3, x_2, \mu x_1 . \nu x_0 . f(x_3, x_1, x_0)) \end{aligned}$$

**Better**

$$\mu x_{11} . \nu x_{01} . f(x_{11}, x_{01}, \mu x_{12} . \nu x_{02} . f(x_{11}, x_{12}, x_{02}))$$

$\nu$ -variables  $x_{2\mathbf{m},j}$ ,

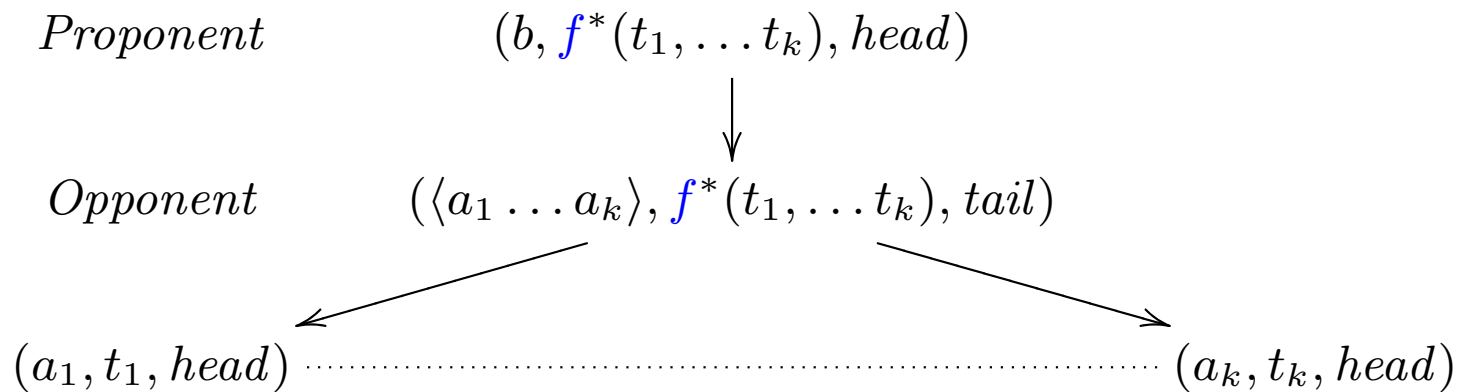
$\mu$ -variables  $x_{2\mathbf{m}+1,j}$ .

If a variable  $x_{\mathbf{k},\ell}$  appears in the scope of  $\theta x_{\mathbf{i},j}$ . then  $k \geq i$ .

## Games for the powerset algebras

A game  $\mathcal{G}(\mathbb{B}, t)$ , for a semi-algebra  $\mathbb{B}$  and a (closed)  $\mu$ -term  $t$ .

Idea of moves ( $f^*$  stands for  $f$  or  $\tilde{f}$ ):



where  $f(a_1, \dots, a_k) \doteq b$ .

*Proponent* is Eve for  $f$  and Adam for  $\tilde{f}$ .

## Positions of the game $\mathcal{G}(\mathbb{B}, t)$

Head positions  $= B \times Sub(t) \times \{head\}$

Tail positions  $\subseteq B^* \times Sub(t) \times \{tail\}$

of the form  $(\langle a_1, \dots, a_k \rangle, \textcolor{blue}{f}^*(t_1, \dots, t_k), tail)$

or, more generally  $(\langle a_1, \dots, a_k \rangle, s, \{tail\})$

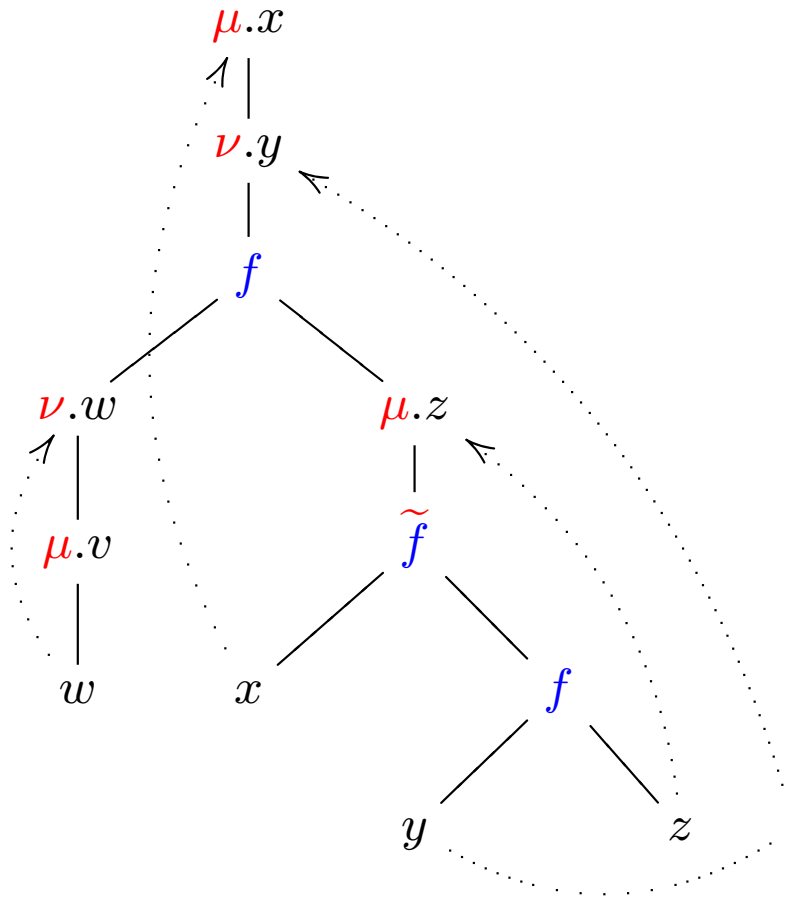


$\textcolor{blue}{f}^*(t_1, \dots, t_k)$

whenever  $s \xrightarrow{\textcolor{red}{red}} \textcolor{blue}{f}^*(t_1, \dots, t_k)$ .

Additionally,  $(b, \perp, head)$  – Adam wins, or  $(b, \top, head)$  – Eve wins.

Reduction **red** to guarded subterms  $f^*(t_1, t_2)$  or  $\perp, \top$ .



$$\text{red}(z) = \text{red}(\mu z. \tilde{f}(x, f(y, z))) = \tilde{f}(x, f(y, z))$$

$$\text{red}(w) = \text{red}(\nu w. \mu v. w) = \top, \text{ etc.}$$

## Ownership of positions

### Eve

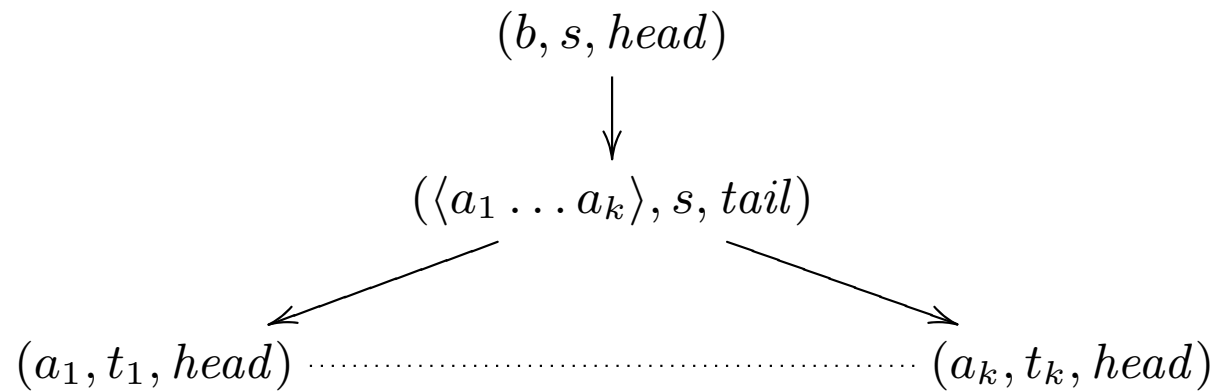
$$\begin{aligned}(b, s, head) & \quad \text{if } \textcolor{red}{red}(s) = \textcolor{blue}{f}(t_1, \dots, t_k), \\(b, s, head) & \quad \text{if } \textcolor{red}{red}(s) = \perp, \\(\langle a_1 \dots a_k \rangle, s, tail) & \quad \text{if } \textcolor{red}{red}(s) = \widetilde{\textcolor{blue}{f}}(t_1, \dots, t_k).\end{aligned}$$

### Adam

$$\begin{aligned}(b, s, head) & \quad \text{if } \textcolor{red}{red}(s) = \widetilde{\textcolor{blue}{f}}(t_1, \dots, t_k), \\(b, s, head) & \quad \text{if } \textcolor{red}{red}(s) = \top, \\(\langle a_1 \dots a_k \rangle, s, tail) & \quad \text{if } \textcolor{red}{red}(s) = \textcolor{blue}{f}(t_1, \dots, t_k).\end{aligned}$$

**Size:**  $|Pos| = \mathcal{O}(|\mathbb{B}| \cdot |t|).$

## Moves



whenever  $red(s) = f^*(t_1, \dots, t_k)$ , and  $f(a_1, \dots, a_k) \doteq b$ .

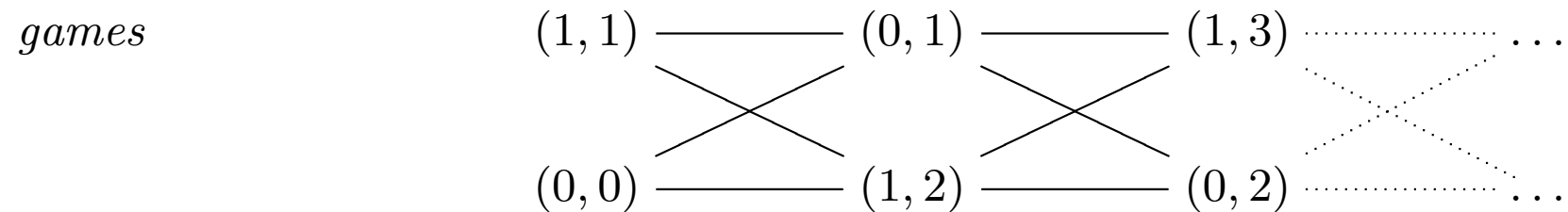
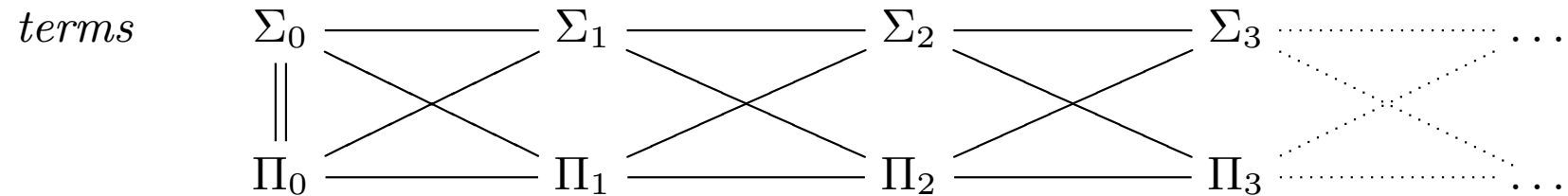
No move out from  $(b, s, head)$  if  $red(s) = \perp, \top$ .

## Ranking

$$\text{rank}(\text{any}, x_{\mathbf{i},j}, \text{any}) = \mathbf{i},$$

for all other positions,  $\text{rank} = 0$ .

**Index of the game:**  $(\min \text{rank}, \max \text{rank})$ .



## Parity game semantics of the $\mu$ -calculus.

**Theorem.** Eve wins the game  $\mathcal{G}(\mathbb{B}, t)$  from a position  $(b, t, head)$  iff  $b \in t^{\wp\mathbb{B}}$ .

We prove a more general claim for a term  $t(z_1, \dots, z_k)$ , and the game  $\mathcal{G}(\mathbb{B}, t, val)$ , where Eve wins at the position  $(b, z_i, head)$  iff  $b \in val(z_i)$ .

Induction on the structure of  $t$ . The case of  $\mu x.t(x, \vec{z})$ .

Let **A** be the set of positions from which Eve **wins the game**  $\mathcal{G}(\mathbb{B}, \mu x.t, val)$ .

To show  $A = (\mu x.t(x, \vec{z}))^{\wp\mathbb{B}} val$ , by Knaster-Tarski's Theorem, it is enough to prove

$$(i) \ t^{\wp\mathbb{B}} val[\mathbf{A}/x] \subseteq \mathbf{A}$$

$$(ii) \ (\forall X) \ t^{\wp\mathbb{B}} val[X/x] \subseteq X \implies \mathbf{A} \subseteq X.$$

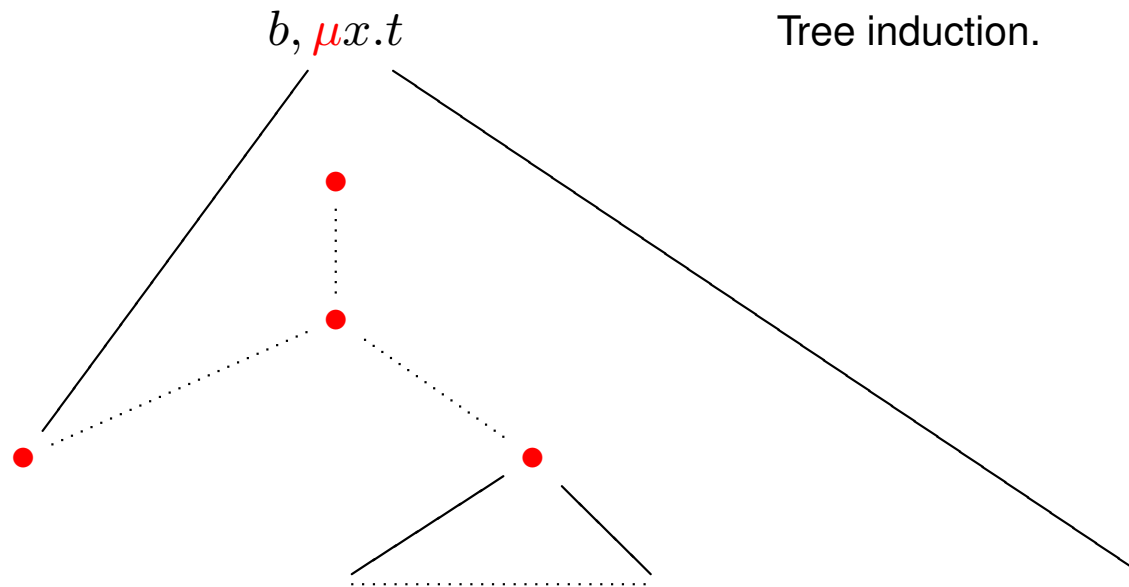
$$(i) \ t^{\mathcal{B}} \text{val}[\mathbf{A}/x] \subseteq \mathbf{A}$$

$$(ii) \ (\forall X) \ t^{\mathcal{B}} \text{val}[X/x] \subseteq X \implies \mathbf{A} \subseteq X.$$

By induction hypothesis, Eve has a strategy at  $t^{\mathcal{B}} \text{val}[\mathbf{A}/x]$ .

Ad (i). Combine the two strategies.

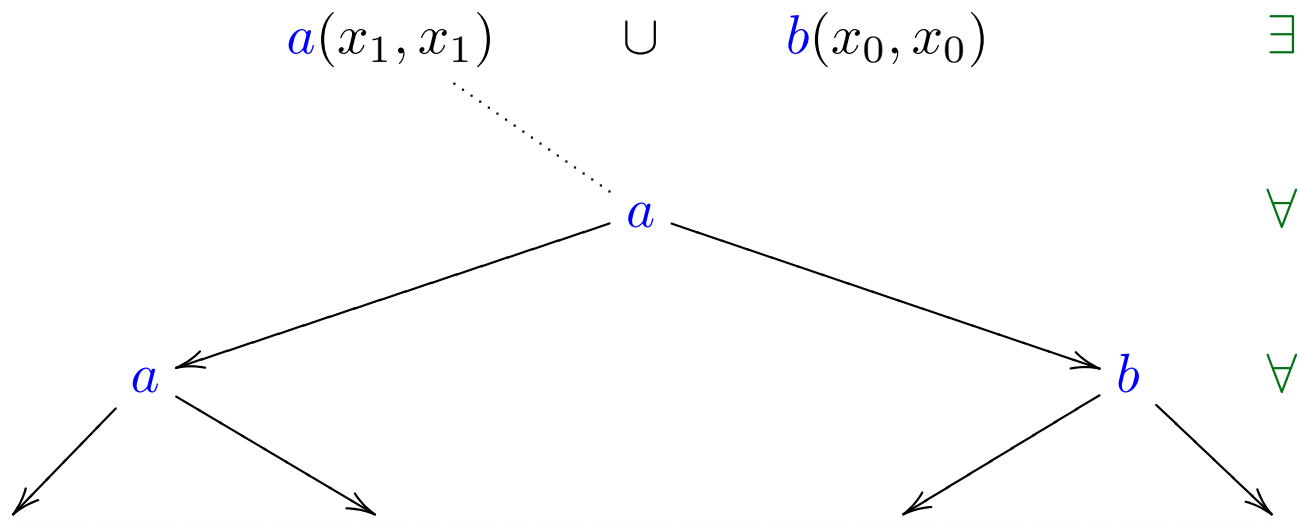
Ad (ii). For  $b \in \mathbf{A}$ , Eve has a strategy with the highest rank **odd** (well founded).



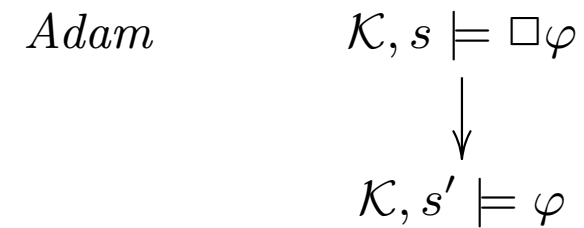
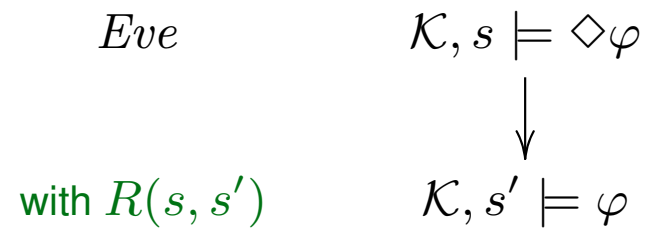
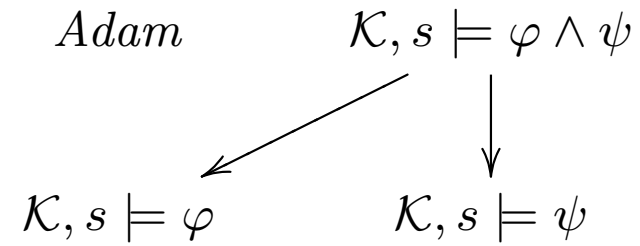
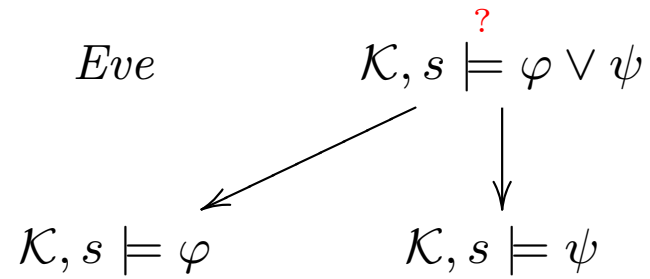
## Example

$\mu x_1. \nu x_0. a(x_1, x_1) \cup b(x_0, x_0)$  = the set of trees, such that on each path there are only finitely many  $a$ 's.

Adam selects a path in the tree and wins if  $a$  occurs infinitely often, otherwise Eve wins.



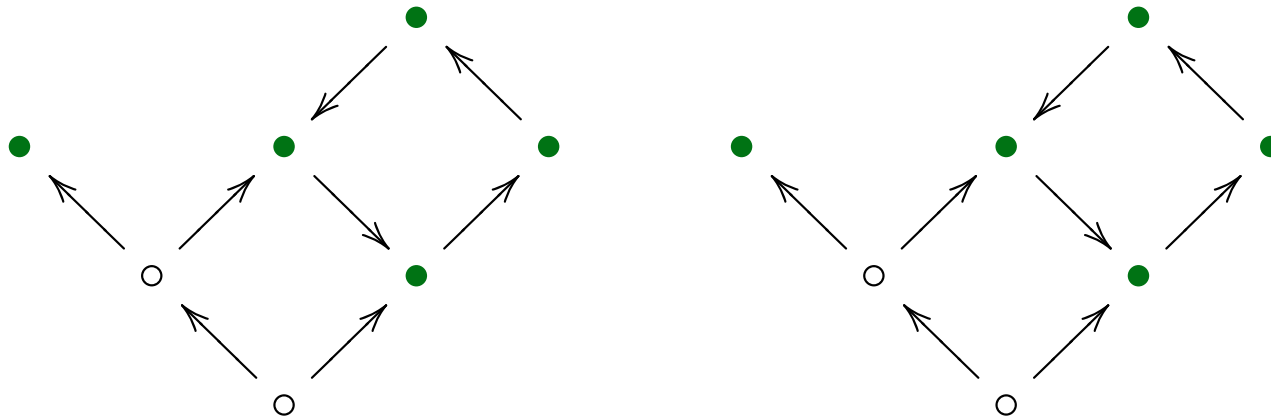
## Games for the modal $\mu$ -calculus



$\mathcal{K}, s \models p$       *Eve*      wins iff true

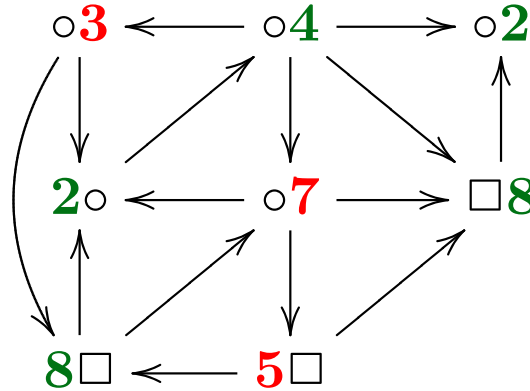
$$\mathcal{K}, s \models X \longrightarrow \mathcal{K}, s \models \theta X.\psi \longrightarrow \mathcal{K}, s \models \psi$$

## Example



$$\mu x. \nu y. \Box y \wedge (\text{Happy} \vee \Box x)$$

## Example – parity games



$Win_E =$

$$\begin{aligned}
 & \nu X_8. \mu X_7. \dots \mu X_1. \nu X_0. (E \cap rank_0 \cap \Diamond X_0) \cup (E \cap rank_1 \cap \Diamond X_1) \cup \dots \\
 & \dots \cup (E \cap rank_7 \cap \Diamond X_7) \cup (E \cap rank_8 \cap \Diamond X_8) \cup \\
 & \cup (A \cap rank_0 \cap \Box X_0) \cup (A \cap rank_1 \cap \Box X_1) \cup \dots \cup (A \cap rank_8 \cap \Box X_8)
 \end{aligned}$$

The game induced by this formula is essentially the original game.

$Win_E =$

$$\begin{aligned} & \nu X_8. \mu X_7. \dots \mu X_1. \nu X_0. (E \cap rank_0 \cap \Diamond X_0) \cup (E \cap rank_1 \cap \Diamond X_1) \cup \dots \\ & \dots \cup (E \cap rank_7 \cap \Diamond X_7) \cup (E \cap rank_8 \cap \Diamond X_8) \cup \\ & \cup (A \cap rank_0 \cap \Box X_0) \cup (A \cap rank_1 \cap \Box X_1) \cup \dots \cup (A \cap rank_8 \cap \Box X_8) \end{aligned}$$

By duality

$Win_A =$

$$\begin{aligned} & \mu X_8. \nu X_7. \dots \nu X_1. \mu X_0. (E \cap rank_0 \cap \Diamond X_0) \cup (E \cap rank_1 \cap \Diamond X_1) \cup \dots \\ & \dots \cup (E \cap rank_7 \cap \Diamond X_7) \cup (E \cap rank_8 \cap \Diamond X_8) \cup \\ & \cup (A \cap rank_0 \cap \Box X_0) \cup (A \cap rank_1 \cap \Box X_1) \cup \dots \cup (A \cap rank_8 \cap \Box X_8) \end{aligned}$$

But the formulas complement each others, hence  $\overline{Win_E} = Win_A$ .

Thus, the game semantics result yields **determinacy of parity games**.

**Note:** infinite games are **not** always determined. But by Martin's Theorem, all games with **Borel** winning criteria are determined.

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## Summary of the lecture

- usefulness of fixed point definitions
- basic laws of  $\mu$  and  $\nu$
- logic for fixed points:  $\mu$ -terms and modal  $\mu$ -calculus
- parity game semantics

## Plan of the course

Monday	<i>DN</i>	Basic laws and games
Tuesday	<i>AF</i>	Automata for the $\mu$ -calculus
Wednesday	<i>AF</i>	$\mu$ -calculus vs. second-order logic
Thursday	<i>AF</i>	Fixpoint hierarchies and topology
Friday	<i>DN</i>	Complexity and probabilistic extension