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- n = 0 yields $f: \rightarrow s$, often written f: s constants allowed

Algebras

• Σ -algebra:

$$A = (|A|, \langle f_A \rangle_{f \in \Omega})$$

- carrier sets: $|A| = \langle |A|_s \rangle_{s \in S}$
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Can $A \in \mathbf{Alg}(\Sigma)$ have empty carriers?

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- $X \cap Y = \langle X_s \cap Y_s \rangle_{s \in S}$, $X \times Y = \langle X_s \times Y_s \rangle_{s \in S}$, etc
- $X \subseteq Y$ iff $X_s \subseteq Y_s$, for $s \in S$
- $R \subseteq X \times Y$ means $R = \langle R_s \subseteq X_s \times Y_s \rangle_{s \in S}$
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- for $f: X \to Y$, $g: Y \to Z$, $f:g = \langle f_s; g_s: X_s \to Z_s \rangle_{s \in S}: X \to Z$

BTW: (f;g)(x) = g(f(x)), where by abuse of notation for $x \in X_s$, $f(x) = f_s(x)$

Definition: For $A, A_{sub} \in \mathbf{Alg}(\Sigma)$, A_{sub} is a Σ -subalgebra of A, written $A_{sub} \subseteq A$, if

- $|A_{sub}| \subseteq |A|$, and
- for $f: s_1 \times \ldots \times s_n \to s$, and $a_1 \in |A_{sub}|_{s_1}, \ldots, a_n \in |A_{sub}|_{s_n}$, $f_{A_{sub}}(a_1, \ldots, a_n) = f_A(a_1, \ldots, a_n)$

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Proof: Let $X_0 = X$, and for $i \ge 0$,

$$X_{i+1} = X_i \cup \{f_A(x_1, \dots, x_n) \mid f: s_1 \times \dots \times s_n \to s, x_1 \in (X_i)_{s_1}, \dots, x_n \in (X_i)_{s_n}\}.$$

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Then $|\langle A \rangle_X| = \bigcup_{i>0} X_i$ contains X (clearly) and is closed under the operations.

Moreover, if a subset of |A| contains X and is closed under the operations then it contains each X_i , $i \geq 0$, and hence so defined $|\langle A \rangle_X|$ as well.

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Lemma: The intersection of any family of subsets of |A| closed under the operations is closed under the operations as well.

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Lemma: The intersection of any family of subsets of |A| closed under the operations is closed under the operations as well.

Then $|\langle A \rangle_X| = \bigcap \{|A_{sub}| \mid X \subseteq |A_{sub}|, A_{sub} \subseteq A\}$ is closed under the operations and contains X. Moreover, it is contained in every subalgebra of A that contains X.

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Proof (idea):

- ullet generate the generated subalgebra from X by closing it under operations in A; or
- ullet the intersection of any family of subalgebras of A is a subalgebra of A.

• for $A, B \in \mathbf{Alg}(\Sigma)$, a Σ -homomorphism $h \colon A \to B$ is a function $h \colon |A| \to |B|$ that preserves the operations:

- for
$$f: s_1 \times \ldots \times s_n \to s$$
 and $a_1 \in |A|_{s_1}, \ldots, a_n \in |A|_{s_n}$,
$$h_s(f_A(a_1, \ldots, a_n)) = f_B(h_{s_1}(a_1), \ldots, h_{s_n}(a_n))$$

$$|A|_{s_1} \times \ldots \times |A|_{s_n} \xrightarrow{f_A} |A|_s$$

$$h_{s_1} \times \ldots \times h_{s_n} \downarrow \qquad \qquad \downarrow h_s$$

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Proof: Check that:

- $-h^{-1}(|B_{sub}|)$ is closed under the operations (in A) easy!
- $-h(|A_{sub}|)$ is closed under the operations (in B) just a tiny bit more difficult...

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Proof:

- $-h(\langle A\rangle_X)\supseteq \langle B\rangle_{h(X)}$, since $h(\langle A\rangle_X)$ is a subalgebra of B and contains h(X);
- $-\langle A\rangle_X\subseteq h^{-1}(\langle B\rangle_{h(X)})$, since $h^{-1}(\langle B\rangle_{h(X)})$ is a subalgebra of A and contains X. Hence $h(\langle A\rangle_X)\subseteq h(h^{-1}(\langle B\rangle_{h(X)}))\subseteq \langle B\rangle_{h(X)}$.

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Theorem: If two homomorphisms $h_1, h_2 \colon A \to B$ coincide on $X \subseteq |A|$, then they coincide on $\langle A \rangle_X$.

Proof: Check that $\{a \in |A| \mid h_1(a) = h_2(a)\}$ is closed under the operations in A.

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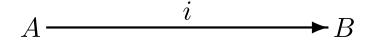
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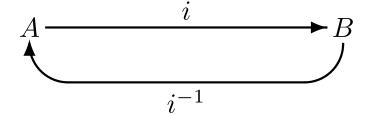
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Theorem: Identity function on the carrier of $A \in \mathbf{Alg}(\Sigma)$ is a homomorphism $id_A : A \to A$. Composition of homomorphisms $h : A \to B$ and $g : B \to C$ is a homomorphism $h : g : A \to C$.

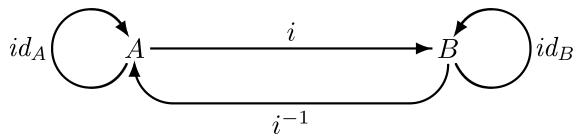
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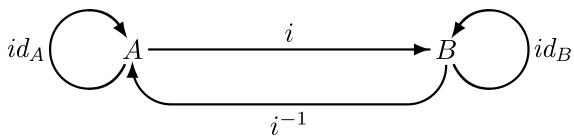
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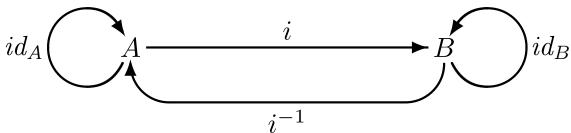
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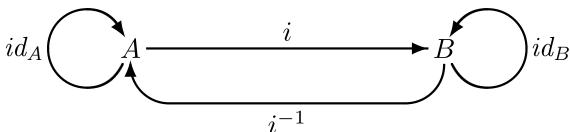


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Theorem: A Σ -homomorphism is a Σ -isomorphism iff it is bijective ("1-1" and "onto").

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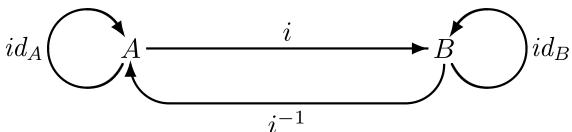
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Theorem: A Σ -homomorphism is a Σ -isomorphism iff it is bijective ("1-1" and "onto").

Proof ("
$$\leftarrow$$
"): For $f: s_1 \times \ldots \times s_n \to s$ and $b_1 \in |B|_{s_1}, \ldots, b_n \in |B|_{s_n}$, $i_s^{-1}(f_B(b_1, \ldots, b_n)) = i_s^{-1}(f_B(i(i^{-1}(b_1)), \ldots, i(i^{-1}(b_n)))) = i_s^{-1}(i(f_A(i^{-1}(b_1), \ldots, i^{-1}(b_n)))) = f_A(i^{-1}(b_1), \ldots, i^{-1}(b_n))$

Isomorphisms

• for $A, B \in \mathbf{Alg}(\Sigma)$, a Σ -isomorphism is any Σ -homomorphism $i : A \to B$ that has an inverse, i.e., a Σ -homomorphism $i^{-1} : B \to A$ such that $i : i^{-1} = id_A$ and $i^{-1} : i = id_B$.



• Σ -algebras are *isomorphic* if there exists an isomorphism between them.

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Theorem: Identities are isomorphisms, and any composition of isomorphisms is an isomorphism.

- for
$$f: s_1 \times \ldots \times s_n \to s$$
 and $a_1, a_1' \in |A|_{s_1}, \ldots, a_n, a_n' \in |A|_{s_n}$, if $a_1 \equiv_{s_1} a_1', \ldots, a_n \equiv_{s_n} a_n'$ then $f_A(a_1, \ldots, a_n) \equiv_{s} f_A(a_1', \ldots, a_n')$.

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BTW:

equivalence

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$$\approx \subseteq X \times X$$

- reflexivity: $x \approx x$

- symmetry: if $x \approx y$ then $y \approx x$

- transitivity: if $x \approx y$ and $y \approx z$ then $x \approx z$

Then:

- equivalence class: $[x]_{\approx} = \{y \in X \mid y \approx x\}$
- quotient set: $X/\approx = \{[x]_{\approx} \mid x \in X\}$

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$$f: s_1 \times \ldots \times s_n \to s$$
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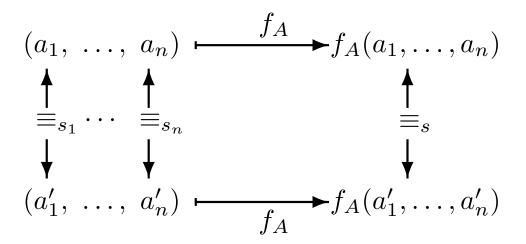
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Proof (idea):

- generate the least congruence from R by closing it under reflexivity, symmetry, transitivity and the operations in A; or
- ullet the intersection of any family of congruences on A is a congruence on A.

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- for $A \in \mathbf{Alg}(\Sigma)$ and Σ -congruence $\equiv \subseteq |A| \times |A|$ on A, the *quotient algebra* A/\equiv is built in the natural way on the equivalence classes of \equiv :
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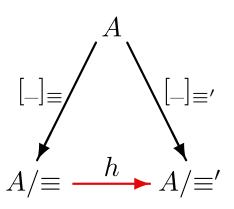
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Proof (idea): Define $h([a]_{\equiv}) = [a]_{\equiv'}$:



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Proof (idea): Check that $i: A/K(h) \to B$ defined by $i([a]_{K(h)}) = h(a)$ is injective and is "onto" h(A).

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- $\prod_{i \in \mathcal{I}} X_i = \{ p \colon \mathcal{I} \to \bigcup_{i \in \mathcal{I}} X_i \mid p(i) \in X_i, i \in \mathcal{I} \}$
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Define the product of the empty family of Σ -algebras.

- for $A_i \in \mathbf{Alg}(\Sigma)$, $i \in \mathcal{I}$, the product of $\langle A_i \rangle_{i \in \mathcal{I}}$, $\prod_{i \in \mathcal{I}} A_i$ is built in the natural way on the Cartesian product of the carriers of A_i , $i \in \mathcal{I}$:
 - for $s \in S$, $|\prod_{i \in \mathcal{I}} A_i|_s = \prod_{i \in \mathcal{I}} |A_i|_s$
 - for $f: s_1 \times \ldots \times s_n \to s$ and $a_1 \in |\prod_{i \in \mathcal{I}} A_i|_{s_1}, \ldots, a_n \in |\prod_{i \in \mathcal{I}} A_i|_{s_n}$, for $i \in \mathcal{I}$, $f_{\prod_{i \in \mathcal{I}} A_i}(a_1, \ldots, a_n)(i) = f_{A_i}(a_1(i), \ldots, a_n(i))$

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Define the product of the empty family of Σ -algebras. When the projection π_i is an isomorphism?

$$\mathcal{H}$$
 \mathcal{S} \mathcal{P}

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No other order of \mathcal{H} , \mathcal{S} , \mathcal{P} works!

Consider an S-sorted set X of variables.

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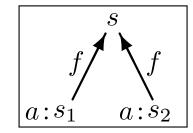
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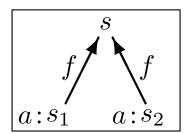


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 - better write terms for instance as $f(a:s_1):s$ and $f(a:s_2):s$.



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Above and in the following: assuming unambiguous "parsing" of terms!

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BTW: There are three kinds of parenthesis here!

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Consider an S-sorted set X of variables.

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- Ground terms: terms with no variables.
- Ground term algebra:

$$T_{\Sigma} = T_{\Sigma}(\emptyset)$$

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Fact: $T_{\Sigma}(X)$ is generated by X; T_{Σ} is reachable.

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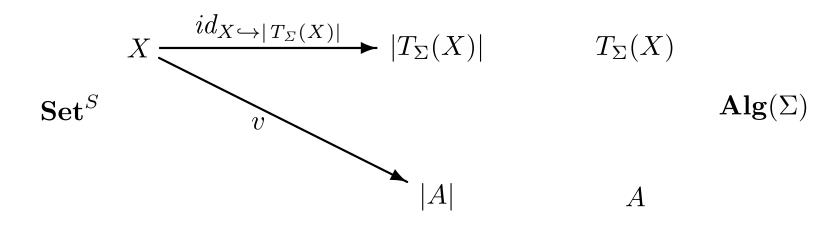
$$X \xrightarrow{id_{X \hookrightarrow |T_{\Sigma}(X)|}} |T_{\Sigma}(X)| \qquad T_{\Sigma}(X)$$

$$\mathbf{Set}^{S} \qquad \mathbf{Alg}(\Sigma)$$

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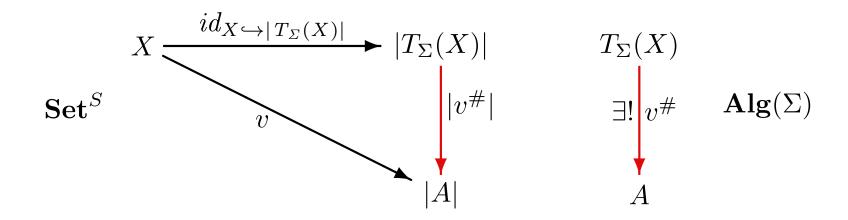
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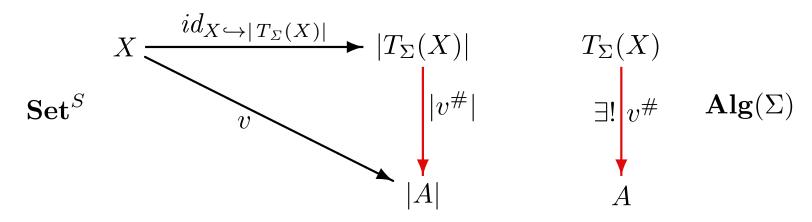
Theorem: For any S-sorted set X of variables, Σ -algebra A and valuation $v\colon X\to |A|$, there is a unique Σ -homomorphism $v^\#\colon T_\Sigma(X)\to A$ that extends v.



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Notation: Given $t \in |T_{\Sigma}(X)|$, $x_1 \in X_{s_1}$, $t_1 \in |T_{\Sigma}(X)|_{s_1}$, ..., $x_n \in X_{s_n}$, $t_n \in |T_{\Sigma}(X)|_{s_n}$, x_1 , ..., x_n mutually distinct:

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Alternative:

Generalise!

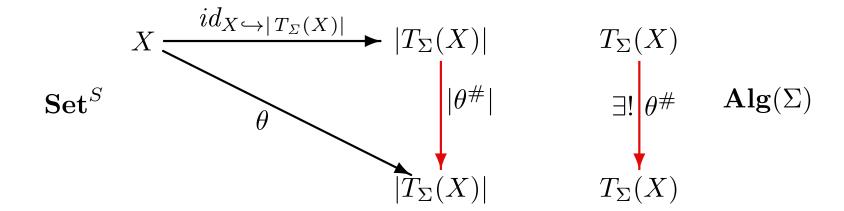
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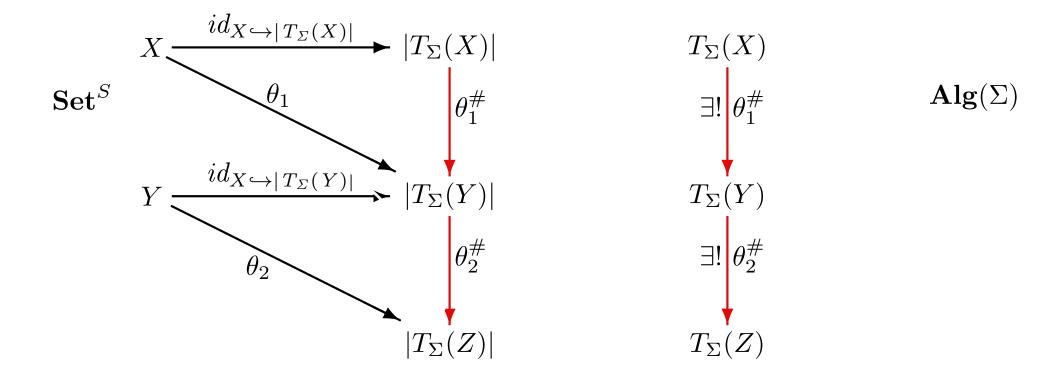
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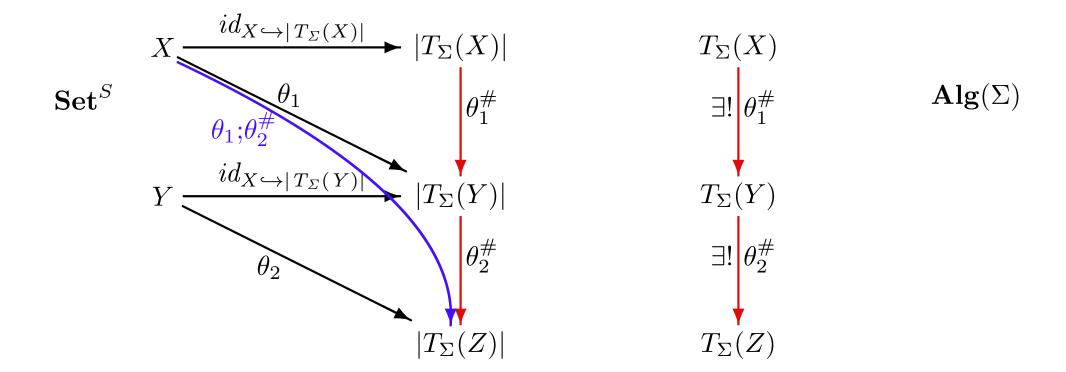
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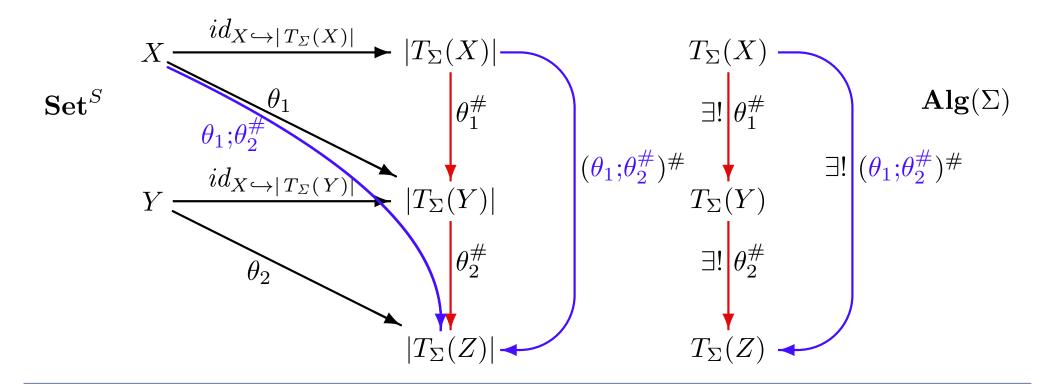
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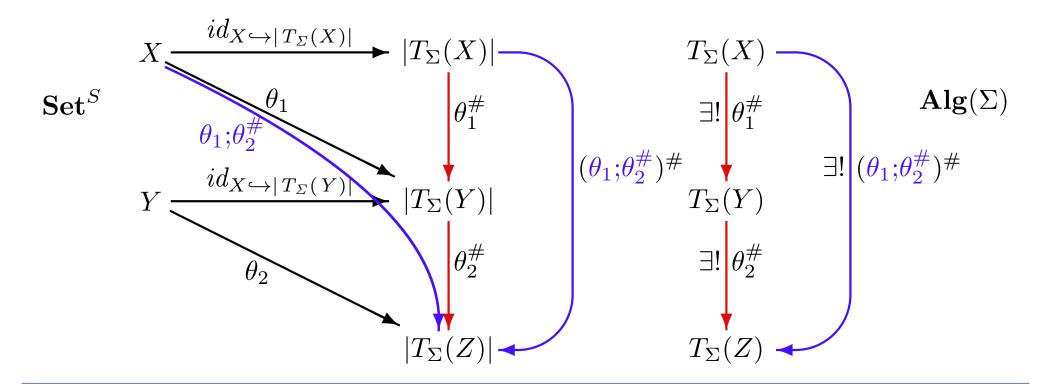








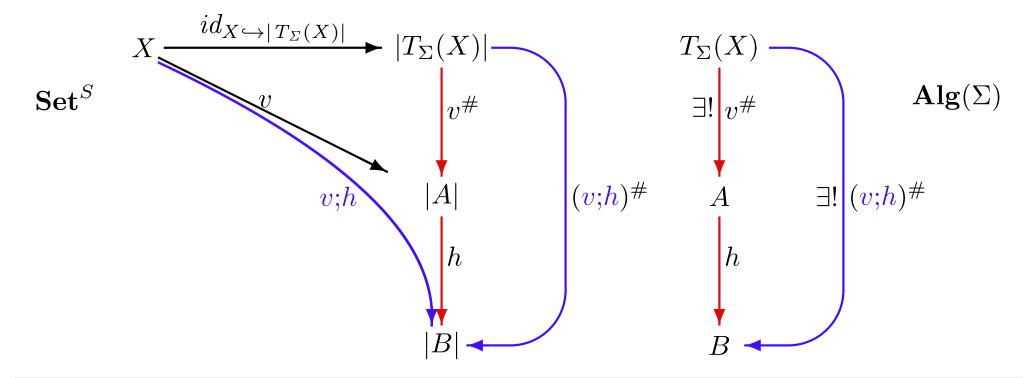
$$\theta_1^{\#}; \theta_2^{\#} = (\theta_1; \theta_2^{\#})^{\#}$$



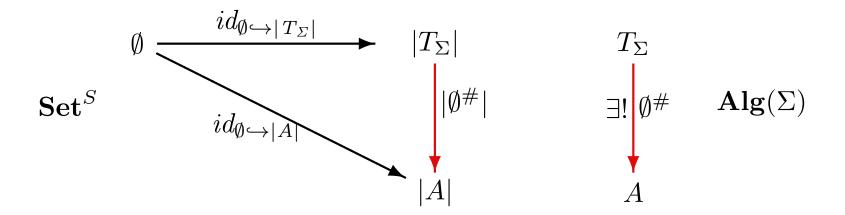
Theorem: For any S-sorted set X, Σ -algebras $A, B \in \mathbf{Alg}(\Sigma)$, valuation $v: X \to |A|$ and Σ -homomorphism $h: A \to B$,

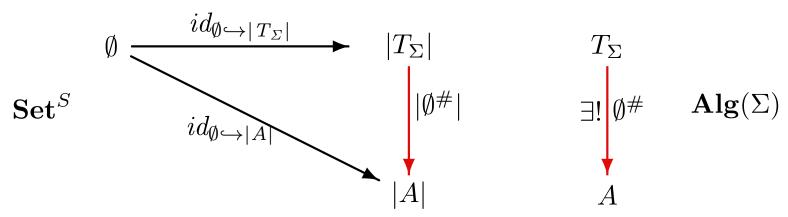
$$v^{\#};h = (v;h)^{\#}$$

In other words, for any term $t \in |T_{\Sigma}(X)|_s$, $h_s(t_A[v]) = t_B[v;h]$.



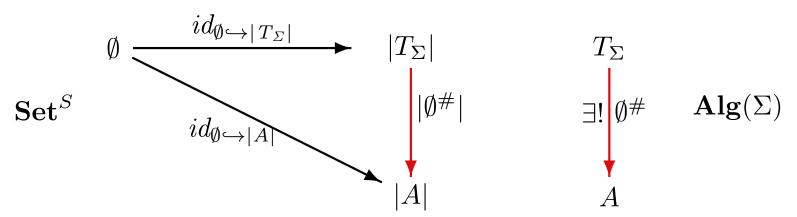
Consequences for reachability



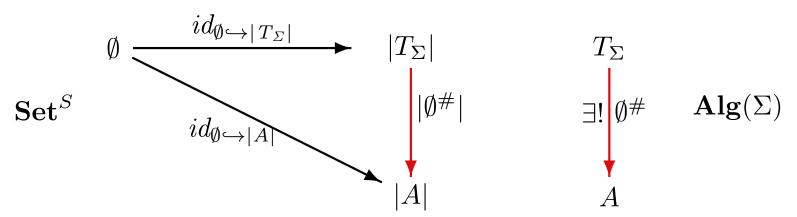


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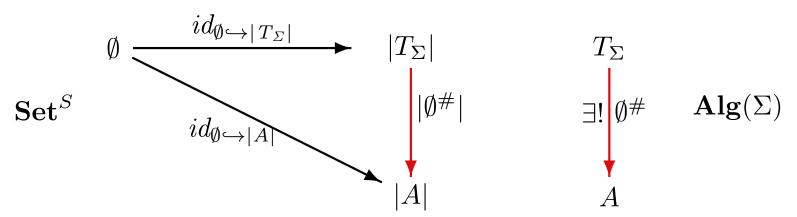
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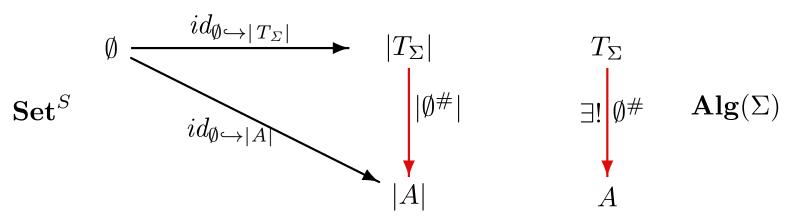
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Equations

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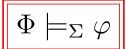
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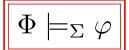
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BTW: $A \models \forall X.t = t'$ holds "trivially" if for some $s \in S$, $X_s \neq \emptyset$ and $|A|_s = \emptyset$.



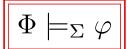
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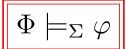
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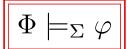
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 - $-\mathcal{C}\subseteq Mod(Th(\mathcal{C})), \ \Phi\subseteq Th(Mod(\Phi))$
 - $Mod(Th(Mod(\Phi))) = Mod(\Phi), Th(Mod(Th(C))) = Th(C)$

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Example

```
 \begin{aligned} \mathbf{spec} \ \mathrm{NaiveNat} &= \mathbf{sort} \ \mathit{Nat}; \\ \mathbf{ops} \ 0 \colon \mathit{Nat}; \\ \mathit{succ} \colon \mathit{Nat} \to \mathit{Nat}; \\ -+- \colon \mathit{Nat} \times \mathit{Nat} \to \mathit{Nat} \\ \mathbf{axioms} \ \forall n \colon \mathit{Nat} \bullet n + 0 = n; \\ \forall n, m \colon \mathit{Nat} \bullet n + \mathit{succ}(m) = \mathit{succ}(n+m) \end{aligned}
```

Now:

NaiveNat $\not\models \forall n, m : Nat \bullet n + m = m + n$

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Constraints can be thought of as special (higher-order) formulae.

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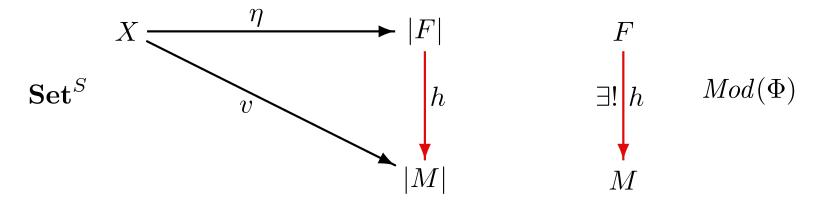
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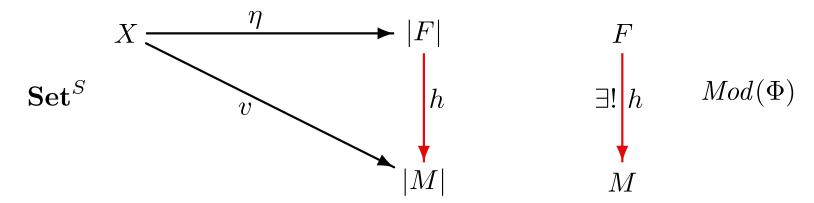
BTW: This can be generalised to the existence of a free model of $\langle \Sigma, \Phi \rangle$ over any (many-sorted) set of data.

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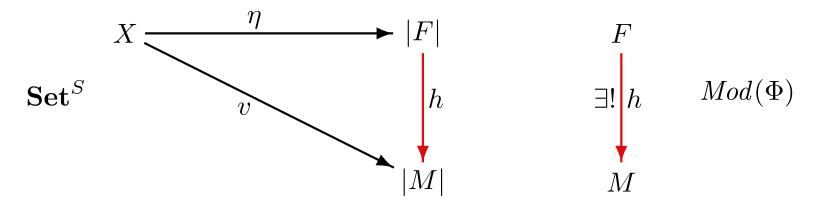
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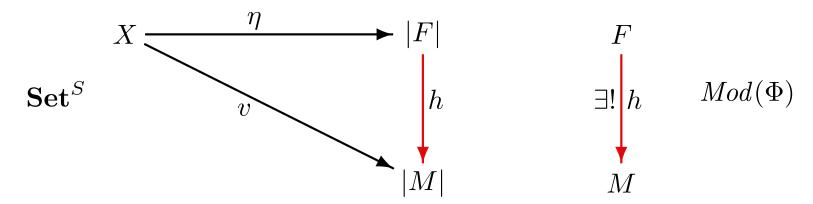
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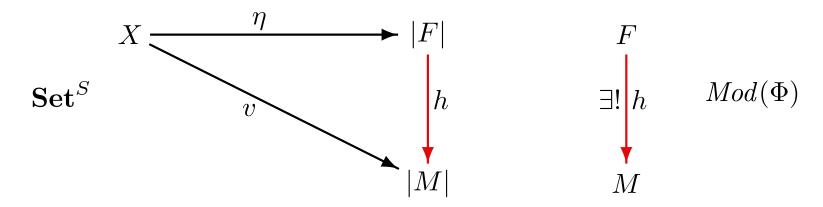
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- Show that for any $M \models \Phi$ with $v: X \to |M|$, $\equiv \subseteq K(v^{\#}: T_{\Sigma}(X) \to M)$
- Conclude that $F = T_{\Sigma}(X)/\equiv$ with $\eta = [-]_{\equiv} : X \to |F|$ has the required property.

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 - reflexivity, transitivity, symmetry: easy!
 - congruence property: easy as well!

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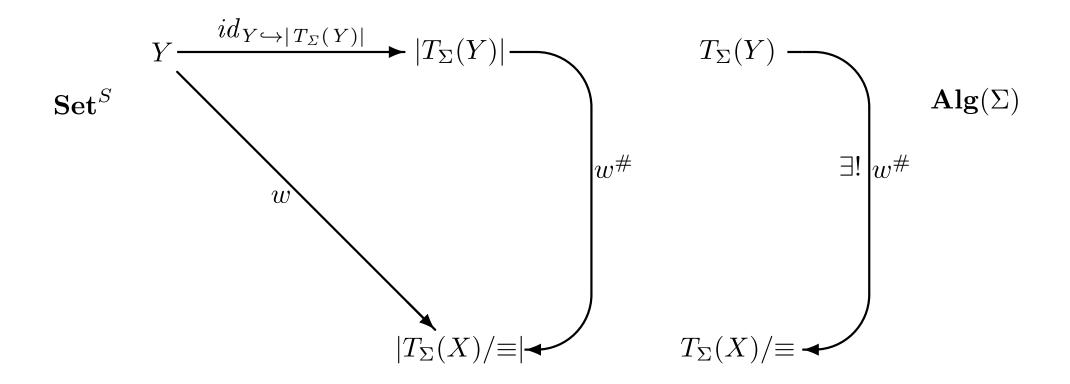
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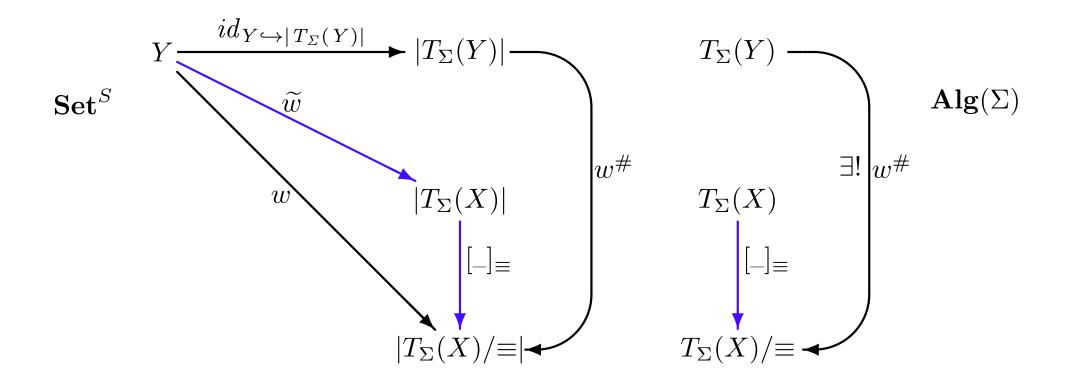
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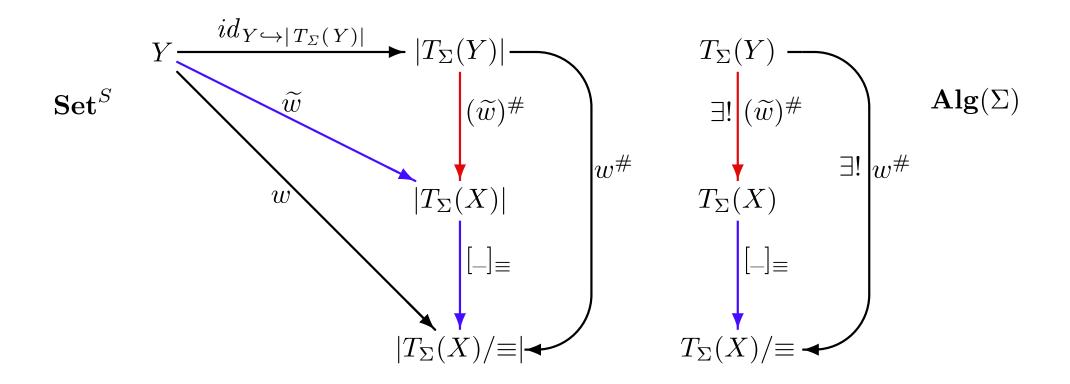
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$$- \text{ for } M \models \Phi \text{ and } v \colon X \to |M|, \quad ((t_1)_{T_\Sigma(X)}[\widetilde{w}])_M[v] = v^\#((t_1)_{T_\Sigma(X)}[\widetilde{w}])$$

$$= (t_1)_M[\widetilde{w}; v^\#]$$

$$= (t_2)_M[\widetilde{w}; v^\#]$$

$$= v^\#((t_2)_{T_\Sigma(X)}[\widetilde{w}])$$

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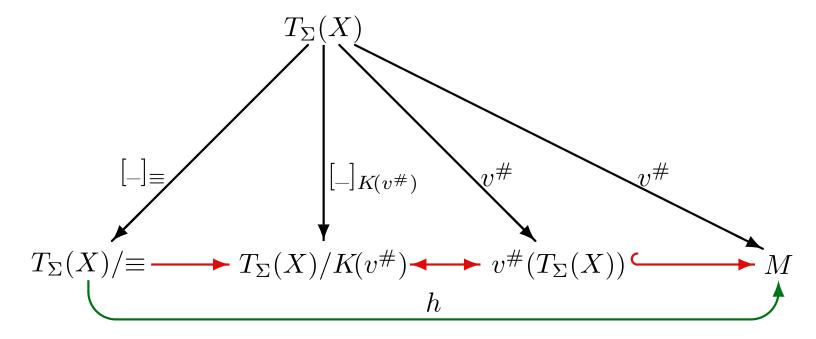
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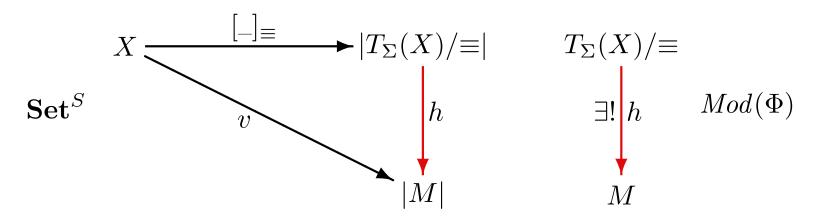
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Theorem: For any equational specification $\langle \Sigma, \Phi \rangle$ and S-sorted set X, define $\equiv \subseteq |T_{\Sigma}(X)| \times |T_{\Sigma}(X)|$ so that $t_1 \equiv t_2$ iff $\Phi \models \forall X.t_1 = t_2$.

Then \equiv is a congruence on $T_{\Sigma}(X)$ and the quotient term algebra $T_{\Sigma}(X)/\equiv$ with unit $[_]_{\equiv}\colon X\to |T_{\Sigma}(X)/\equiv|$ is free over X in $Mod(\Phi)$, that is $T_{\Sigma}(X)/\equiv\in Mod(\Phi)$ and for every Σ -algebra $M\in Mod(\Phi)$ and valuation $v\colon X\to |M|$, there exists a unique Σ -homomorphism $h\colon (T_{\Sigma}(X)/\equiv)\to M$ such that $[_]_{\equiv};h=v$.



Initial models

Theorem: Every equational specification $\langle \Sigma, \Phi \rangle$ has an initial model: there exists a Σ -algebra $I \in Mod(\Phi)$ such that for every Σ -algebra $M \in Mod(\Phi)$ there exists a unique Σ -homomorphism from I to M.

Proof (idea):

- I is the quotient of the algebra of ground Σ -terms by the congruence that glues together all ground terms t, t' such that $\Phi \models \forall \emptyset. t = t'$.
- I is the reachable subalgebra of the product of "all" (up to isomorphism) reachable algebras in $Mod(\Phi)$.

BTW: This can be generalised to the existence of a free model of $\langle \Sigma, \Phi \rangle$ over any (many-sorted) set of data.

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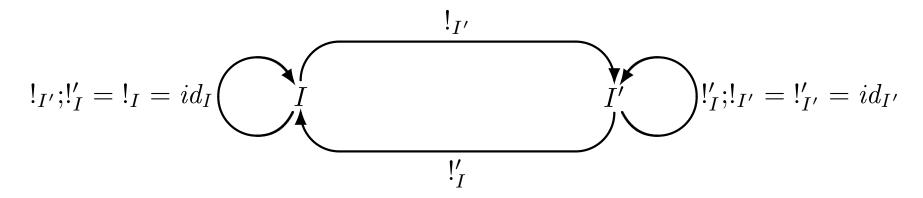
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Example

```
\mathbf{spec} \ \ \mathbf{Nat} = \mathbf{free} \ \{ \ \mathbf{sort} \ \ \mathit{Nat}; \\ \mathbf{ops} \ 0 \colon \mathit{Nat}; \\ \mathit{succ} \colon \mathit{Nat} \to \mathit{Nat}; \\ -+-: \ \mathit{Nat} \times \mathit{Nat} \to \mathit{Nat} \\ \mathbf{axioms} \ \forall n \colon \mathit{Nat} \bullet n + 0 = n; \\ \forall n, m \colon \mathit{Nat} \bullet n + \mathit{succ}(m) = \mathit{succ}(n+m) \\ \}
```

Now:

NAT
$$\models \forall n, m : Nat \bullet n + m = m + n$$

Example[']

$$\begin{aligned} \mathbf{spec} \ \ \mathbf{NAT'} &= \mathbf{free} \ \ \mathbf{type} \ \ Nat ::= 0 \mid succ(Nat) \\ \mathbf{op} \ _+ _: \ Nat \times Nat \to Nat \\ \mathbf{axioms} \ \forall n : Nat \bullet n + 0 = n; \\ \forall n, m : Nat \bullet n + succ(m) = succ(n + m) \end{aligned}$$

 $NAT \equiv NAT'$

Another example

```
spec String =
     generated { sort String
                         ops nil: String;
                               a, \ldots, z : String;
                               \_ : String \times String \rightarrow String 
                         axioms \forall s : String \bullet s \cap nil = s;
                                    \forall s : String \bullet nil \ \hat{\ } s = s;
                                    \forall s, t, v : String \bullet s \land (t \land v) = (s \land t) \land v
```

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- For $t, t' \in |T_{\Sigma}(X)|_s$, if $t_{F_X}[\eta_X] = t'_{F_X}[\eta_X]$ then $\forall X.t = t' \in Th(\mathcal{C})$.
- Let $A \in Mod(Th(\mathcal{C}))$. Then there is a homomorphism $h \colon F_{|A|} \to A$ such that $\eta_{|A|}; h = id_{|A|}$.

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Conclude:

$$Mod(Th(\mathcal{C})) = \mathcal{C}$$

$$\frac{\forall X.t = t'}{\forall X.t = t} \qquad \frac{\forall X.t = t'}{\forall X.t' = t'} \qquad \frac{\forall X.t = t'}{\forall X.t = t''}$$

$$\frac{\forall X.t_1 = t'_1 \quad \dots \quad \forall X.t_n = t'_n}{\forall X.f(t_1 \dots t_n) = f(t'_1 \dots t'_n)} \qquad \frac{\forall X.t = t'}{\forall Y.t[\theta] = t'[\theta]} \text{ for } \theta \colon X \to |T_{\Sigma}(Y)|$$

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a=b does **not** follow from a=f(x) and f(x)=b

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Mind the variables!

$$a=b$$
 does **not** follow from $a=f(x)$ and $f(x)=b$

In general, $\forall x : s.(a:s') = (b:s') \not\models \forall \emptyset.(a:s') = (b:s').$

For instance, over signature Σ with sorts s,s' and constants $a,b\colon s'$ and no other operations, for any algebra $A\in\mathbf{Alg}(\Sigma)$ such that $|A|_s=\emptyset$

$$A \models \forall x : s.a = b$$
, even if $a_A \neq b_A$

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Mind the variables!

a=b does **not** follow from a=f(x) and f(x)=b without a "witness" for x

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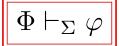
- reflexivity, symmetry, transitivity: clear
- congruence: clear as well

$$\frac{\forall X.t = t'}{\forall X.t = t} \qquad \frac{\forall X.t = t'}{\forall X.t' = t} \qquad \frac{\forall X.t = t'}{\forall X.t = t''}$$

$$\frac{\forall X.t_1 = t'_1 \quad \dots \quad \forall X.t_n = t'_n}{\forall X.f(t_1 \dots t_n) = f(t'_1 \dots t'_n)} \qquad \frac{\forall X.t = t'}{\forall Y.t[\theta] = t'[\theta]} \text{ for } \theta \colon X \to |T_{\Sigma}(Y)|$$

- reflexivity, symmetry, transitivity: clear
- congruence: clear as well
- *substitution* allows one to:
 - substitute terms for (some) variables, possibly with different variables
 - increase the set of variables
 - remove unused variables, if "witnesses" to substitute for them remain

Proof-theoretic entailment



 Σ -equation φ is a proof-theoretic consequence of a set of Σ -equations Φ if φ can be derived from Φ by the rules.

How to justify this?

Semantics!

Soundness & completeness

Theorem: The equational calculus is sound and complete:

$$\Phi \models \varphi \iff \Phi \vdash \varphi$$

- soundness: "all that can be proved, is true" ($\Phi \models \varphi \Longleftarrow \Phi \vdash \varphi$)
- completeness: "all that is true, can be proved" $(\Phi \models \varphi \Longrightarrow \Phi \vdash \varphi)$

- soundness: easy!
- completeness: not so easy!

$$\Phi \models \forall \emptyset. t_1 = t_2 \Longrightarrow \Phi \vdash \forall \emptyset. t_1 = t_2$$

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Proof (idea):

- Define $\approx \subseteq |T_{\Sigma}| \times |T_{\Sigma}|$: $t_1 \approx t_2$ iff $\Phi \vdash \forall \emptyset . t_1 = t_2$

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Proof (idea): Generalise the previous proof by building a free algebra $T_{\Sigma}(X)/\approx$ in $Mod(\Phi)$ with unit $[_]_{\approx} \colon X \to T_{\Sigma}(X)/\approx$, where $\approx \subseteq |T_{\Sigma}(X)| \times |T_{\Sigma}(X)|$ is given by $t_1 \approx t_2$ iff $\Phi \vdash \forall X.t_1 = t_2$.

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– For each signature Σ and a set of variables X, define a new signature $\Sigma(X)$ that extends Σ by variables from X as constants

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 - · Straightforward induction on the structure of derivation does not go through!

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 - · Straightforward induction on the structure of derivation does not go through!
 - Induction works for a more general thesis:

$$\Phi \vdash_{\Sigma} \forall X \cup Y.t_1 = t_2 \text{ iff } \Phi \vdash_{\Sigma(X)} \forall Y.t_1 = t_2$$

Completeness

$$\Phi \models \forall X.t_1 = t_2 \Longrightarrow \Phi \vdash \forall X.t_1 = t_2$$

Proof (idea):

- For each signature Σ and a set of variables X, define a new signature $\Sigma(X)$ that extends Σ by variables from X as constants
- Σ -algebras $A \in \mathbf{Alg}(\Sigma)$ with valuations $v \colon X \to |A|$ correspond to $\Sigma(X)$ -algebras $A[v] \in \mathbf{Alg}(\Sigma(X))$
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- Show $\Phi \models_{\Sigma} \forall X.t_1 = t_2 \text{ iff } \Phi \models_{\Sigma(X)} \forall \emptyset.t_1 = t_2$
- Show $\Phi \vdash_{\Sigma} \forall X.t_1 = t_2 \text{ iff } \Phi \vdash_{\Sigma(X)} \forall \emptyset.t_1 = t_2$
- Using ground completeness, conclude: $\Phi \models_{\Sigma} \forall X.t_1 = t_2 \text{ iff } \Phi \models_{\Sigma(X)} \forall \emptyset.t_1 = t_2 \text{ iff } \Phi \vdash_{\Sigma(X)} \forall \emptyset.t_1 = t_2 \text{ iff } \Phi \vdash_{\Sigma} \forall X.t_1 = t_2$

Moving between signatures

Let
$$\Sigma = (S,\Omega)$$
 and $\Sigma' = (S',\Omega')$

$$\sigma\colon \Sigma \to \Sigma'$$

- Signature morphism maps:
 - sorts to sorts: $\sigma: S \to S'$
 - operation names to operation names, preserving their profiles:

$$\sigma \colon \Omega_{w,s} \to \Omega'_{\sigma(w),\sigma(s)}$$
, for $w \in S^*$, $s \in S$

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$$\sigma \colon \Omega_{w,s} \to \Omega'_{\sigma(w),\sigma(s)}$$
, for $w \in S^*$, $s \in S$, that is:

if
$$f: s_1 \times \ldots \times s_n \to s$$
 then $\sigma(f): \sigma(s_1) \times \ldots \times \sigma(s_n) \to \sigma(s)$,

Translating syntax

- translation of variables: $X \mapsto X'$, where $X'_{s'} = \biguplus_{\sigma(s)=s'} X_s$
- translation of terms: $\sigma: |T_{\Sigma}(X)|_s \to |T_{\Sigma'}(X')|_{\sigma(s)}$, for $s \in S$
- translation of equations: $\sigma(\forall X.t_1 = t_2)$ yields $\forall X'.\sigma(t_1) = \sigma(t_2)$

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...and semantics

- σ -reduct: $-|_{\sigma} : \mathbf{Alg}(\Sigma') \to \mathbf{Alg}(\Sigma)$, where for $A' \in \mathbf{Alg}(\Sigma')$
 - $|A'|_{\sigma}|_s = |A'|_{\sigma(s)}$, for $s \in S$
 - $f_{A'|_{\sigma}} = \sigma(f)_{A'} \text{ for } f \in \Omega$

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(this is well-defined)

$$for f: s_1 \times \ldots \times s_n \to s, \ f_{A'|_{\sigma}}: |A'|_{\sigma}|_{s_1} \times \ldots \times |A'|_{\sigma}|_{s_n} \to |A'|_{\sigma}|_s \text{ since }$$

$$\sigma(f)_{A'}: |A'|_{\sigma(s_1)} \times \ldots \times |A'|_{\sigma(s_n)} \to |A'|_{\sigma(s)}$$

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this is well-defined

BTW: Given a Σ' -homomorphism $h': A' \to B'$, Σ -homomorphism $h'|_{\sigma}: A'|_{\sigma} \to B'|_{\sigma}$ is defined by $(h'|_{\sigma})_s = h'_{\sigma(s)}$ for $s \in S$.

Translating syntax

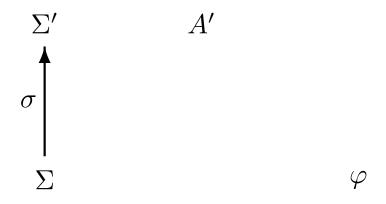
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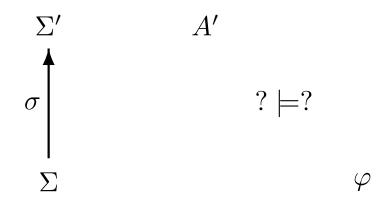
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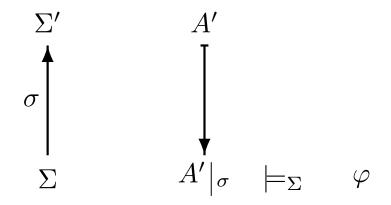
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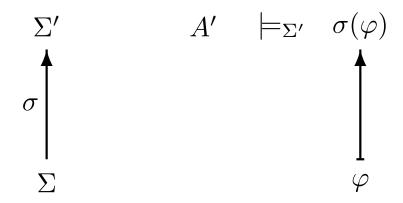
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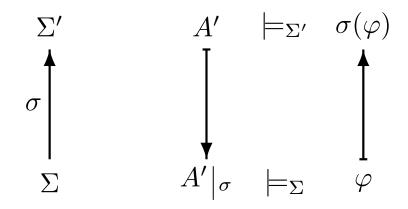
Note the contravariancy!





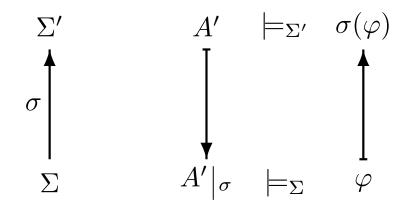






$$A'|_{\sigma} \models_{\Sigma} \varphi \iff A' \models_{\Sigma'} \sigma(\varphi)$$

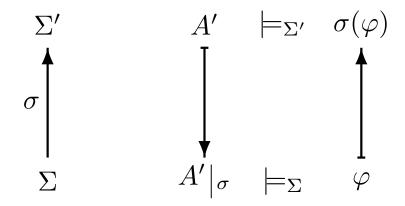
Theorem: For any signature morphism $\sigma: \Sigma \to \Sigma'$, Σ' -algebra A' and Σ -equation φ :



$$A'|_{\sigma} \models_{\Sigma} \varphi \iff A' \models_{\Sigma'} \sigma(\varphi)$$

Proof (idea): for $t \in |T_{\Sigma}(X)|$ and $v \colon X \to |A'|_{\sigma}$, $t_{A'|_{\sigma}}[v] = \sigma(t)_{A'}[v']$, where $v' \colon X' \to |A'|$ is given by $v'_{\sigma(s)}(x) = v_s(x)$ for $s \in S$, $x \in X_s$.

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TRUTH is preserved (at least) under:

- change of notation
- restriction/extension of irrelevant context

Given any signature morphism $\sigma \colon \Sigma \to \Sigma'$, set of Σ -equations Φ and Σ -equation φ :

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(In general, the equivalence does not hold!)

Given any signature morphism $\sigma \colon \Sigma \to \Sigma'$, set of Σ -equations Φ and Σ -equation φ :

$$\Phi \models_{\Sigma} \varphi \implies \sigma(\Phi) \models_{\Sigma'} \sigma(\varphi)$$

Moreover, if $-|_{\sigma} : \mathbf{Alg}(\Sigma') \to \mathbf{Alg}(\Sigma)$ is surjective then:

$$\Phi \models_{\Sigma} \varphi \iff \sigma(\Phi) \models_{\Sigma'} \sigma(\varphi)$$

(In general, the equivalence does not hold!)

Specification morphism:

$$\sigma \colon \langle \Sigma, \Phi \rangle \to \langle \Sigma', \Phi' \rangle$$

is a signature morphism $\sigma \colon \Sigma \to \Sigma'$ such that for all $M' \in \mathbf{Alg}(\Sigma')$:

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Theorem: A signature morphism $\sigma \colon \Sigma \to \Sigma'$ is a specification morphism $\sigma \colon \langle \Sigma, \Phi \rangle \to \langle \Sigma', \Phi' \rangle$ if and only if $\Phi' \models \sigma(\Phi)$.

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Proof: " \Leftarrow " If $M' \models \Phi'$ then $M' \models \sigma(\Phi)$, and so $M'|_{\sigma} \models \Phi$.

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A specification morphism:

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is conservative if for all Σ -equations φ : $\Phi' \models_{\Sigma'} \sigma(\varphi) \implies \Phi \models_{\Sigma} \varphi$

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(i.e., $-|_{\sigma} : Mod(\Phi') \to Mod(\Phi)$ is surjective).

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Theorem: If $\sigma: \langle \Sigma, \Phi \rangle \to \langle \Sigma', \Phi' \rangle$ admits model expansion then it is conservative.

A specification morphism:

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In general, the equivalence does not hold!

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• Translation of syntax, reducts of algebras, satisfaction condition, and many other notions and results: similarly as before.

not quite all though...

Partial algebras

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as before, but operations $f_A: |A|_{s_1} \times \ldots \times |A|_{s_n} \rightharpoonup |A|_s$, for $f: s_1 \times \ldots \times s_n \to s$, may now be partial functions.

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• $\mathbf{PAlg}(\Sigma)$ stands for the class of all partial Σ -algebras.

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- (weak) subalgebra: if $f_{A_{sub}}(a_1,\ldots,a_n)$ is defined then $f_A(a_1,\ldots,a_n)$ is defined

and
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$$\forall X.t \stackrel{s}{=} t'$$

as before

Satisfaction relation

partial Σ -algebra A satisfies $\forall X.t \stackrel{s}{=} t'$

$$A \models \forall X.t \stackrel{s}{=} t'$$

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• (Existence) equation:

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BTW:

- $\forall X.t \stackrel{e}{=} t' \text{ iff } \forall X.(t \stackrel{s}{=} t' \land def t)$
- $\forall X.t \stackrel{s}{=} t' \text{ iff } \forall X.(def t \iff def t') \land (def t \implies t \stackrel{e}{=} t')$

To introduce and/or check:

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- signature morphisms, translation of formulae, reducts of partial algebras, satisfaction condition; specification morphisms, conservativity, etc. (easy)
- even more general signature morphisms: $\delta \colon \Sigma \to \Sigma'$ maps sort names to sort names, and operation names $f \colon s_1 \times \ldots s_n \to s$ to sequences $\langle \varphi_i, t_i \rangle_{i \geq 0}$, where φ_i is a Σ' -formula and t_i is a Σ' -term of sort $\delta(s)$, both with variables among $x_1 \colon \delta(s_1), \ldots, x_n \colon \delta(s_n)$; syntax does not quite translate, but reducts are well defined...

Example

```
\mathbf{spec} \ \mathrm{NATPRED} = \mathbf{free} \ \{ \ \mathbf{sort} \ \mathit{Nat}
                                          ops 0: Nat;
                                                 succ: Nat \rightarrow Nat;
                                                 \_+\_: Nat \times Nat \rightarrow Nat
                                                 pred: Nat \rightarrow ? Nat
                                          axioms \forall n : Nat \bullet n + 0 = n;
                                                       \forall n, m : Nat \bullet n + succ(m) = succ(n + m)
                                                       \forall n : Nat \bullet pred(succ(n)) \stackrel{s}{=} n;
```

Example[']

```
 \begin{aligned} \mathbf{spec} \ \ \mathbf{NATPRED'} &= \mathbf{free} \ \mathbf{type} \ \mathit{Nat} ::= 0 \ | \ \mathit{succ(pred} :? \ \mathit{Nat}) \\ \mathbf{op} \ \_+ \_: \ \mathit{Nat} \times \mathit{Nat} \to \mathit{Nat} \\ \mathbf{axioms} \ \forall n : \mathit{Nat} \bullet n + 0 = n; \\ \forall n, m : \mathit{Nat} \bullet n + \mathit{succ}(m) = \mathit{succ}(n + m) \end{aligned}
```

 $NATPRED \equiv NATPRED'$