Functors and natural transformations

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functors → category morphisms

natural transformations → functor morphisms

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• **F** preserves composition, i.e.,

$$\mathbf{F}(f;g) = \mathbf{F}(f); \mathbf{F}(g)$$

for all $f: A \to B$ and $g: B \to C$ in **K**.



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Andrzej Tarlecki: Category Theory, 2025

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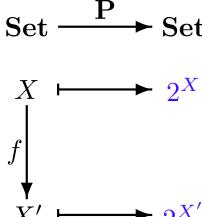
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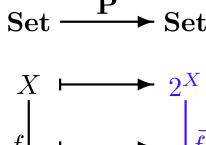
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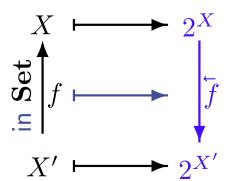
$$\begin{array}{cccc}
\text{Set}^{op} & \xrightarrow{\mathbf{P}_{-1}} & \text{Set} \\
X & & & & \\
X' & & & \\
X' & & & & \\
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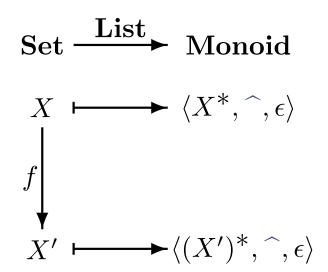
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$$f \downarrow \qquad \qquad \downarrow f^*$$

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Define **Set*** as the category of algebras

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 - $\mathbf{Tot}(X) = X \uplus \{*\}$

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 - **List** $(X) = \langle X^*, \widehat{}, \epsilon \rangle$, for all $X \in |\mathbf{Set}|$, where X^* is the set of all finite lists of elements from X, $\widehat{}$ is the list concatenation, and ϵ is the empty list.
 - $\mathbf{List}(f) : \mathbf{List}(X) \to \mathbf{List}(X')$ for $f : X \to X'$ in \mathbf{Set} , $\mathbf{List}(f)(\langle x_1, \dots, x_n \rangle) = \langle f(x_1), \dots, f(x_n) \rangle$ for all $x_1, \dots, x_n \in X$
- totalisation functor: $\mathbf{Tot} \colon \mathbf{Pfn} \to \mathbf{Set}_*$, where \mathbf{Set}_* is the subcategory of \mathbf{Set} of sets with a distinguished element * and *-preserving functions
 - $\mathbf{Tot}(X) = X \uplus \{*\}$

Define \mathbf{Set}_* as the category of algebras

$$- \mathbf{Tot}(f)(x) = \begin{cases} f(x) & \text{if it is defined} \\ * & \text{otherwise} \end{cases}$$

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 $\mathbf{Hom}_{\mathbf{K}} \colon \mathbf{K}^{op} imes \mathbf{K} o \mathbf{Set}$

a binary *hom-functor*, contravariant on the first argument and covariant on the second argument, as follows:

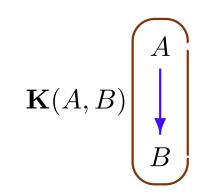
Andrzej Tarlecki: Category Theory, 2025

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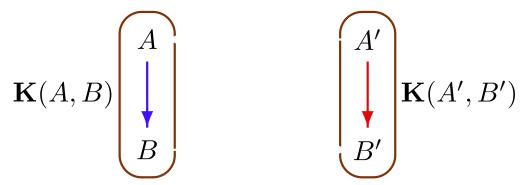


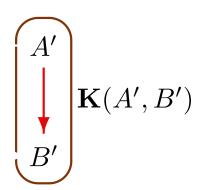
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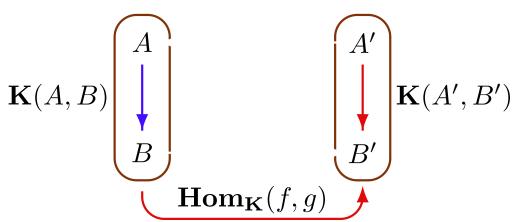


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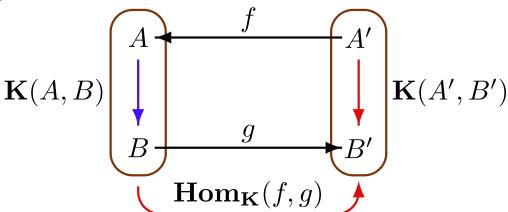


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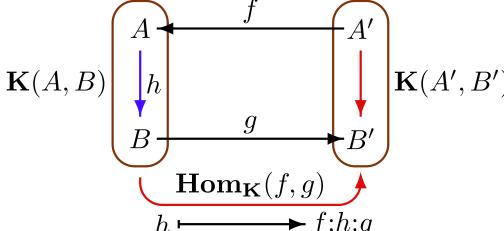
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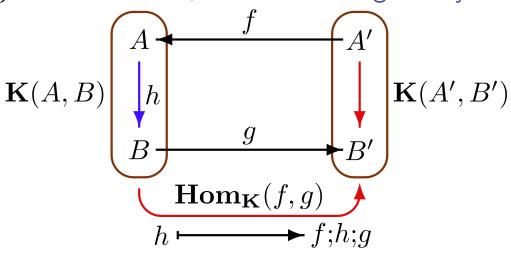
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Also: $\mathbf{Hom}_{\mathbf{K}}(A, _) \colon \mathbf{K} \to \mathbf{Set}$ $\mathbf{Hom}_{\mathbf{K}}(_, B) \colon \mathbf{K}^{op} \to \mathbf{Set}$



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 $\mathbf{F} \colon \mathbf{K} o \mathbf{K}'$

If $f: A \to B$ is mono in **K** then

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- Check whether functors preserve:
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If $f: A \to B$ is epi in **K** then

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If $f: A \to B$ is a retraction in **K** then

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If $\alpha\colon X\to D$ is a cone on diagram D in $\mathbf K$ then $\mathbf F(\alpha)\colon \mathbf F(X)\to \mathbf F(D)$ is a cone on diagram $\mathbf F(D)$ in $\mathbf K'$??

BTW:

- $\mathbf{F}(D)$ has the same shape as D, i.e. $\mathcal{G}(\mathbf{F}(D)) = \mathcal{G}(D)$ (with nodes N and edges E)
 - $(\mathbf{F}(D))_n = \mathbf{F}(D_n) \text{ for } n \in N$
 - $(\mathbf{F}(D))_e = \mathbf{F}(D_e) \text{ for } e \in E$
- $\mathbf{F}(\alpha) = \langle \mathbf{F}(\alpha_n) \colon \mathbf{F}(X) \to (\mathbf{F}(D))_n \rangle_{n \in N}$

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If $\alpha \colon X \to D$ is a limit of diagram D in \mathbf{K} then $\mathbf{F}(\alpha) \colon \mathbf{F}(X) \to \mathbf{F}(D)$ is a limit of diagram $\mathbf{F}(D)$ in \mathbf{K}' ?

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Dualise!

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Try to define their duals

Given two functors with a common target, $F\colon K1 \to K$ and $G\colon K2 \to K$, define their comma category

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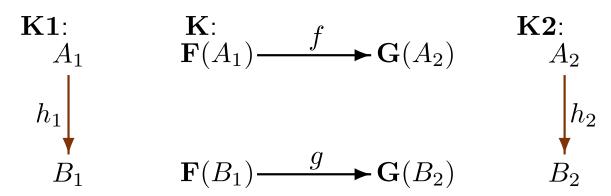
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$$B_1 \qquad \mathbf{F}(B_1) \xrightarrow{g} \mathbf{G}(B_2) \qquad B_2$$

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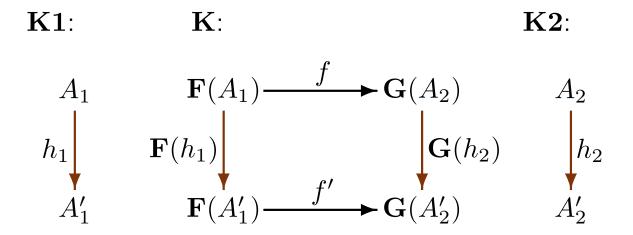
– composition:

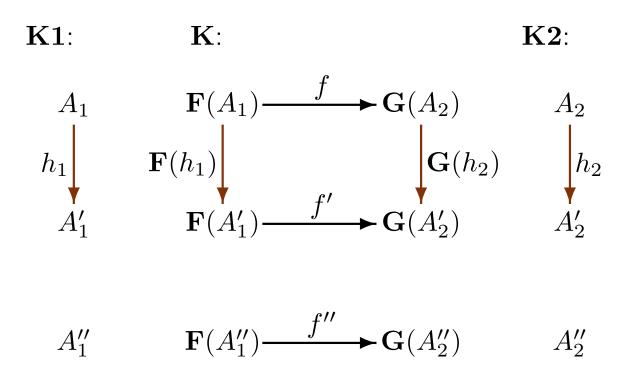
K1: K: K2:

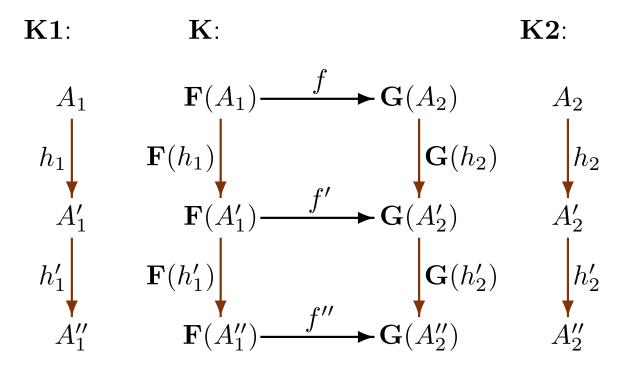
 $A_1 \qquad \mathbf{F}(A_1) \xrightarrow{f} \mathbf{G}(A_2) \qquad A_2$

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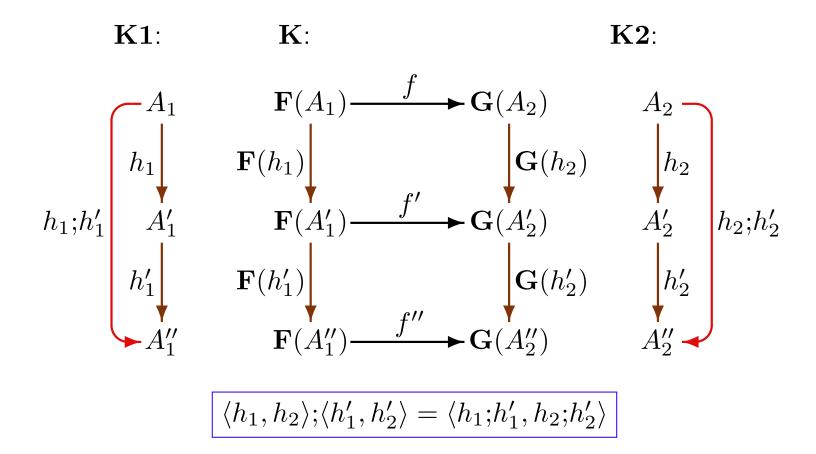
$$A_1'$$
 $\mathbf{F}(A_1') \xrightarrow{f'} \mathbf{G}(A_2')$ A_2'



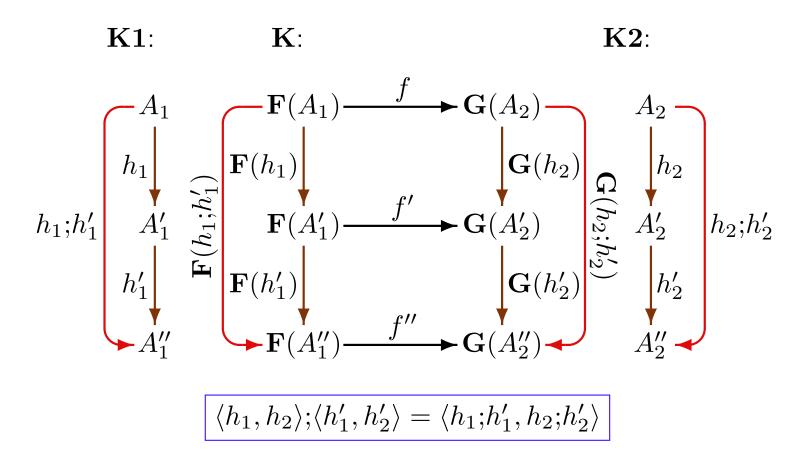




composition: component-wise



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$$\mathbf{F}(h_1;h_1');f'' = \mathbf{F}(h_1);\mathbf{F}(h_1');f'' = \mathbf{F}(h_1);f';\mathbf{G}(h_2') = f;\mathbf{G}(h_2);\mathbf{G}(h_2') = f;\mathbf{G}(h_2;h_2')$$

Given two functors with a common target, $F\colon K1 \to K$ and $G\colon K2 \to K$, define their comma category

 (\mathbf{F},\mathbf{G})

- objects: triples $\langle A_1, f \colon \mathbf{F}(A_1) \to \mathbf{G}(A_2), A_2 \rangle$, where $A_1 \in |\mathbf{K1}|$, $A_2 \in |\mathbf{K2}|$, and $\overline{f \colon \mathbf{F}(A_1)} \to \mathbf{G}(A_2)$ in \mathbf{K}
- morphisms: a morphism in (\mathbf{F}, \mathbf{G}) is any pair $\overline{\langle h_1, h_2 \rangle} \colon \overline{\langle A_1, f \colon \mathbf{F}(A_1) \to \mathbf{G}(A_2), A_2 \rangle} \to \overline{\langle B_1, g \colon \mathbf{F}(B_1) \to \mathbf{G}(B_2), B_2 \rangle}$, where $h_1 \colon A_1 \to B_1$ in $\mathbf{K1}$, $h_2 \colon A_2 \to B_2$ in $\mathbf{K2}$, and $\mathbf{F}(h_1); g = f; \mathbf{G}(h_2)$ in \mathbf{K} .
- composition: component-wise A_1 A_1 $F(A_1)$ $F(A_2)$ A_2 $F(h_1)$ $F(h_1)$ $G(h_2)$ $F(h_2)$



• The category of graphs as a comma category:

$$\mathbf{Graph} = (\mathbf{Id_{Set}}, \mathbf{CP})$$

where $\mathbf{CP} \colon \mathbf{Set} \to \mathbf{Set}$ is the (Cartesian) product functor, i.e. $\mathbf{CP}(X) = X \times X$ and $\mathbf{CP}(f)(\langle x, x' \rangle) = \langle f(x), f(x') \rangle$.

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$$\mathbf{AlgSig} = (\mathbf{Id_{Set}}, (_)^+)$$

where $(_)^+$: **Set** \to **Set** is the non-empty list functor, i.e. $(X)^+$ is the set of all non-empty lists of elements from X, $(f)^+(\langle x_1,\ldots,x_n\rangle)=\langle f(x_1),\ldots,f(x_n)\rangle$.

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Define \mathbf{K}^{\rightarrow} , $\mathbf{K} \downarrow A$ as comma categories. The same for $\mathbf{Alg}(\Sigma)$.

Theorem: If K1 and K2 are (finitely) cocomplete categories, $F: K1 \to K$ is a (finitely) cocontinuous functor, and $G: K2 \to K$ is a functor then the comma category (F, G) is (finitely) cocomplete.

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Proof (idea):

Construct coproducts and coequalisers in (\mathbf{F}, \mathbf{G}) , using the corresponding constructions in $\mathbf{K1}$ and $\mathbf{K2}$, and cocontinuity of \mathbf{F} .

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State and prove the dual fact, concerning completeness of comma categories

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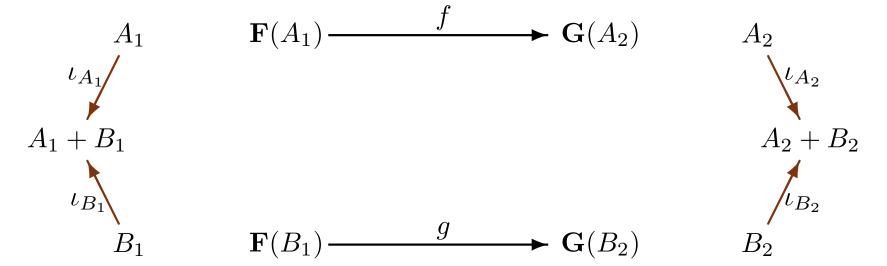
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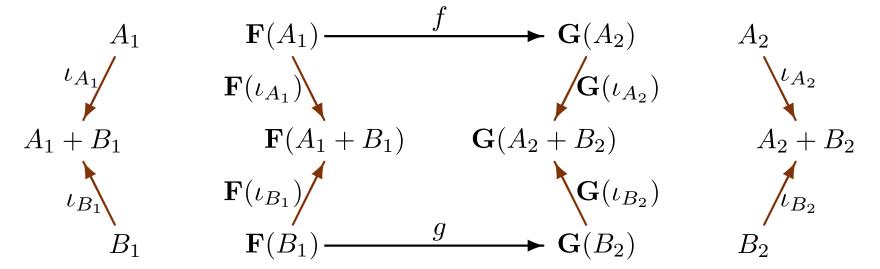
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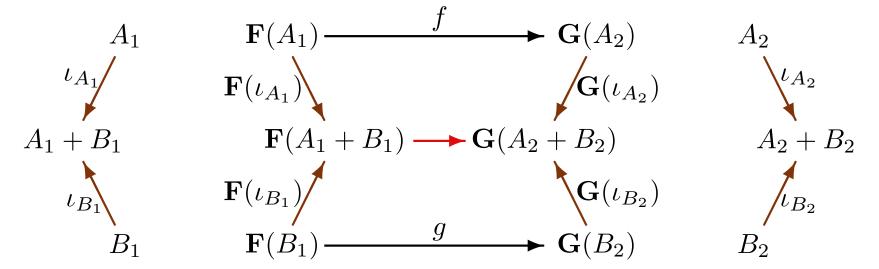
Theorem: If K1 and K2 are (finitely) complete categories, $F: K1 \to K$ is a functor, and $G: K2 \to K$ is a (finitely) continuous functor then the comma category (F,G) is (finitely) complete.

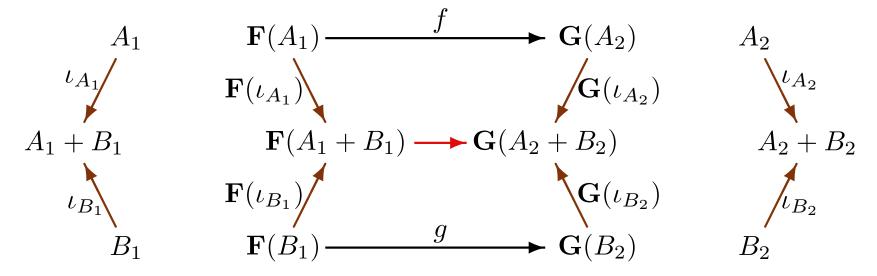
$$A_1 \qquad \mathbf{F}(A_1) \stackrel{f}{\longrightarrow} \mathbf{G}(A_2) \qquad A_2$$

$$B_1 \qquad \mathbf{F}(B_1) \xrightarrow{g} \mathbf{G}(B_2) \qquad B_2$$

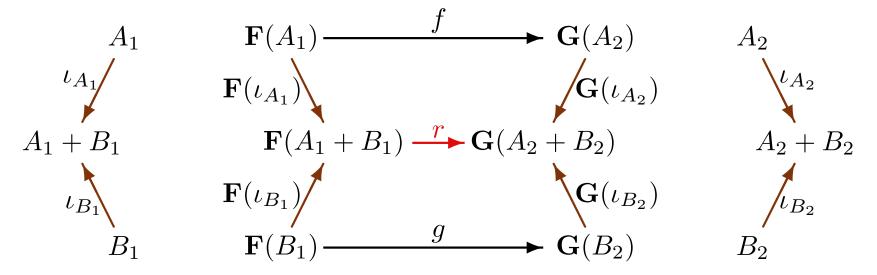




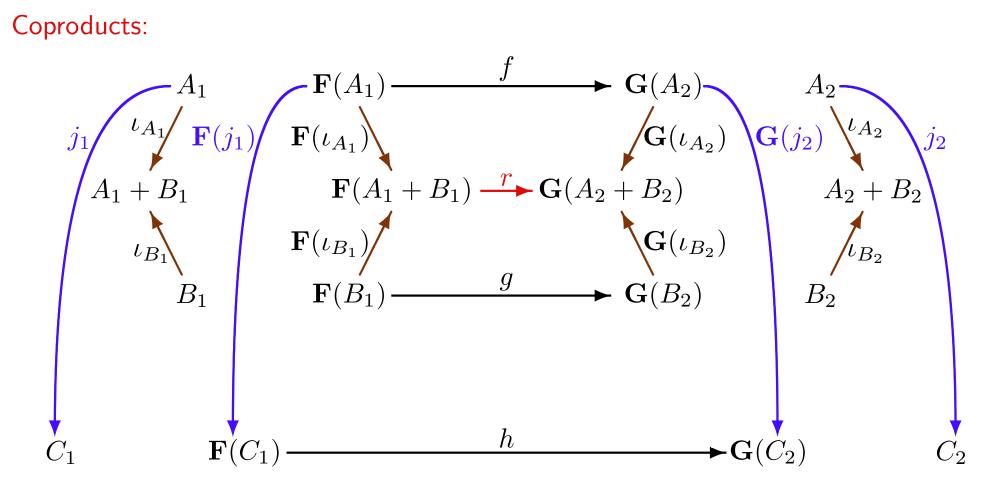




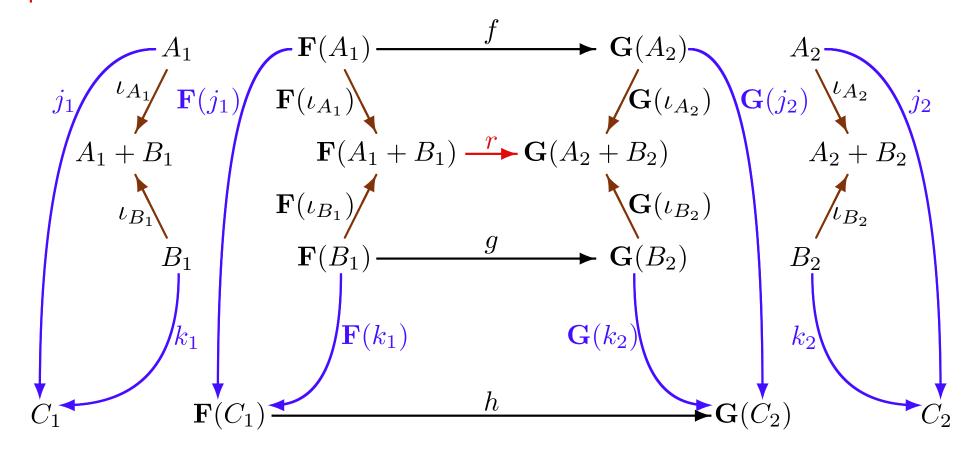
Fact: $\langle A_1 + B_1, [f; \mathbf{G}(\iota_{A_2}), g; \mathbf{G}(\iota_{B_2})] \colon \mathbf{F}(A_1 + B_1) \to \mathbf{G}(A_2 + B_2), A_2 + B_2 \rangle$ with injections $\langle \iota_{A_1}, \iota_{A_2} \rangle$ and $\langle \iota_{B_1}, \iota_{B_2} \rangle$ is a coproduct of $\langle A_1, f \colon \mathbf{F}(A_1) \to \mathbf{G}(A_2), A_2 \rangle$ and $\langle B_1, g \colon \mathbf{F}(B_1) \to \mathbf{G}(B_2), B_2 \rangle$ in (\mathbf{F}, \mathbf{G}) .



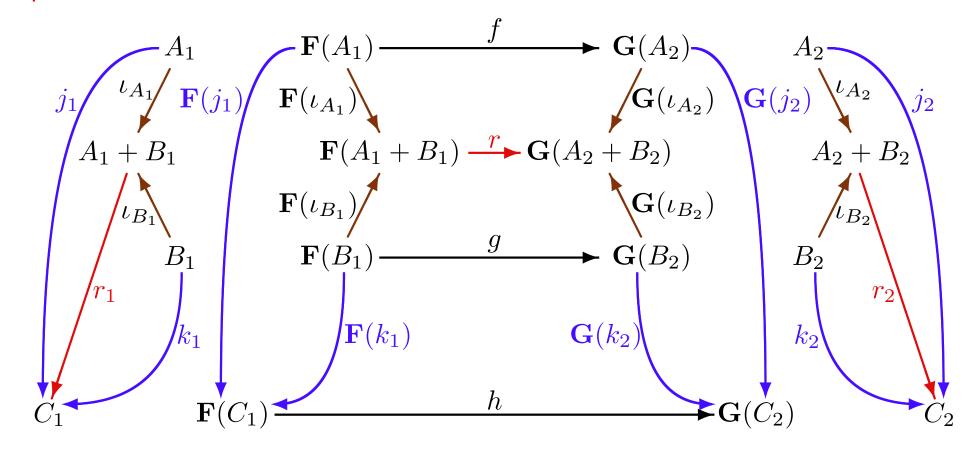
$$C_1$$
 $\mathbf{F}(C_1)$ $\longrightarrow \mathbf{G}(C_2)$ C_2 where $r = [f; \mathbf{G}(\iota_{A_2}), g; \mathbf{G}(\iota_{B_2})],$



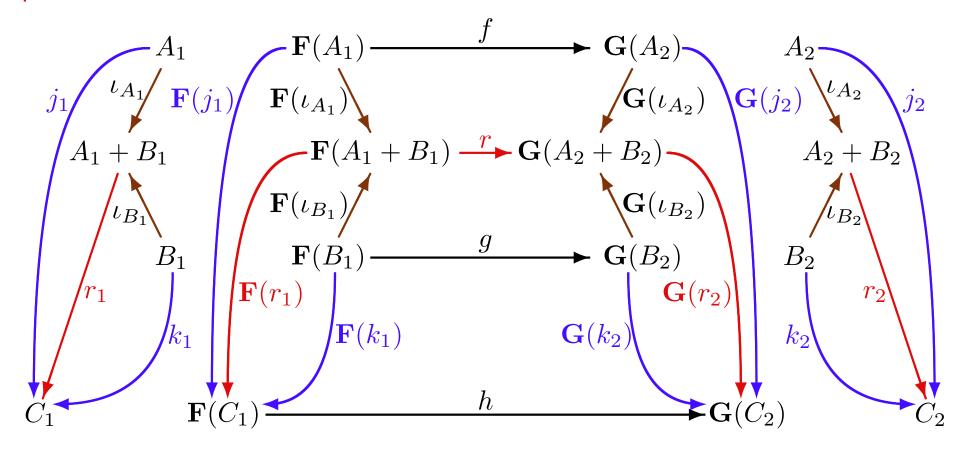
where
$$r = [f; \mathbf{G}(\iota_{A_2}), g; \mathbf{G}(\iota_{B_2})], \ \mathbf{F}(j_1); h = f; \mathbf{G}(j_2),$$



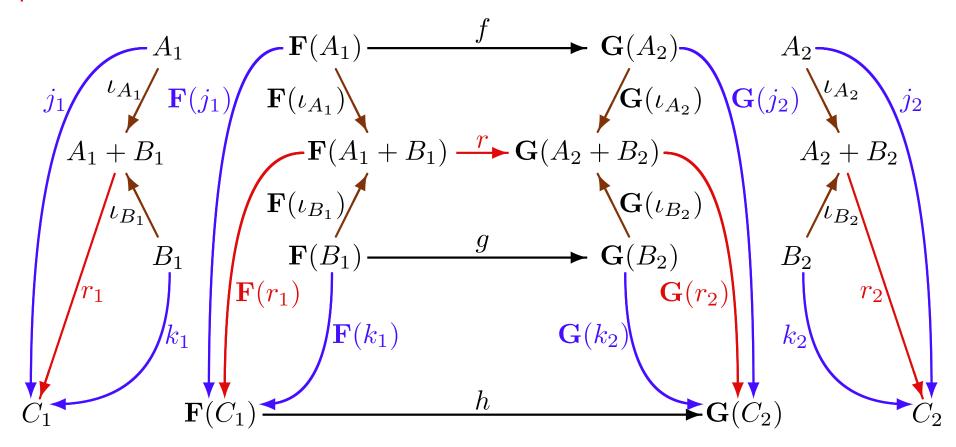
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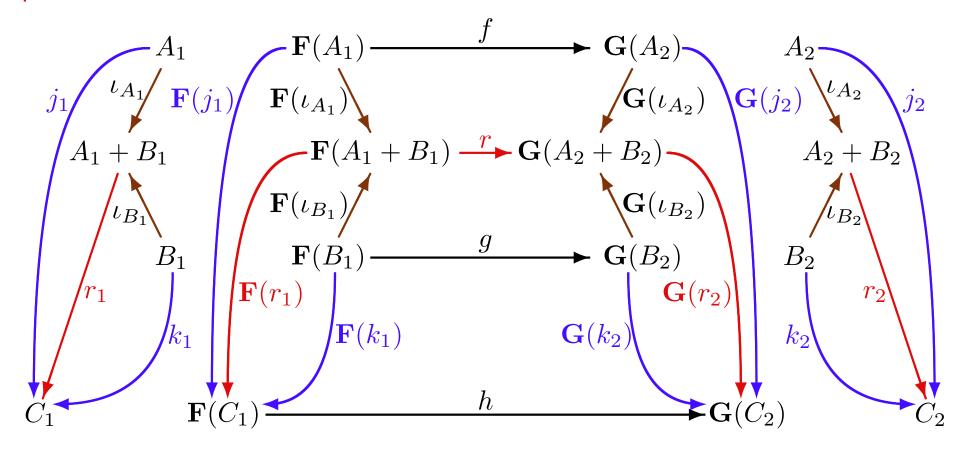
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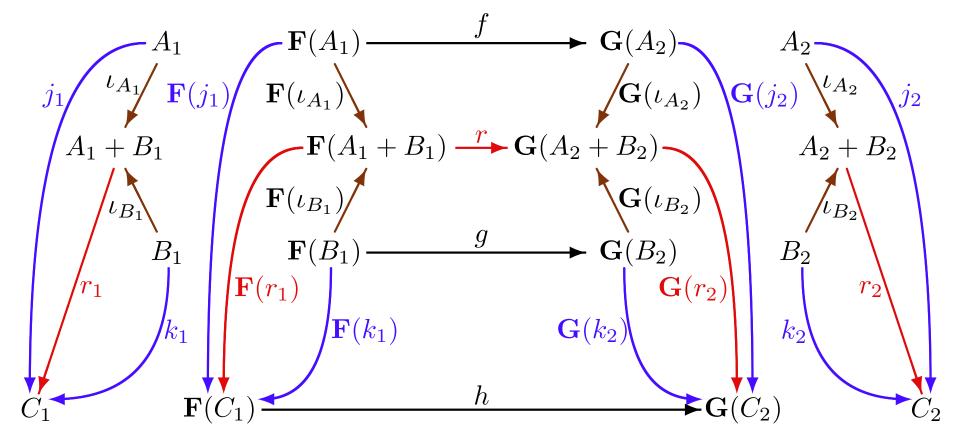
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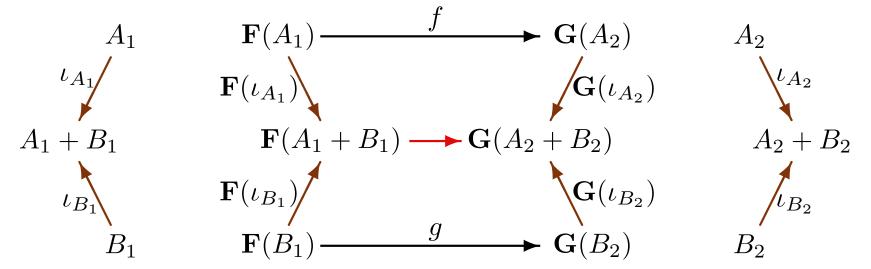
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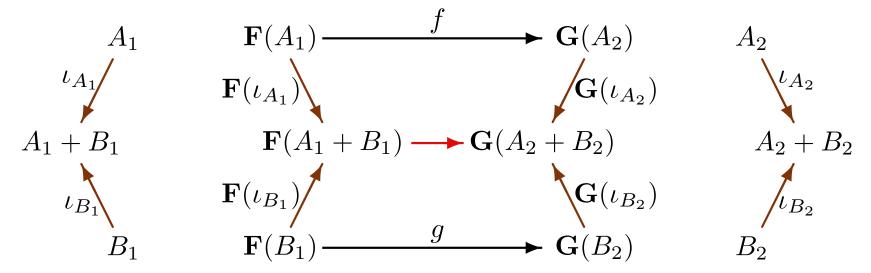


where $r=[f;\mathbf{G}(\iota_{A_2}),g;\mathbf{G}(\iota_{B_2})]$, $\mathbf{F}(j_1);h=f;\mathbf{G}(j_2)$, $\mathbf{F}(k_1);h=g;\mathbf{G}(k_2)$, $r_1=[j_1,k_1]$, $r_2=[j_2,k_2]$. We need $\mathbf{F}(r_1);h=r;\mathbf{G}(r_2)$ This follows from $\mathbf{F}(\iota_{A_1});\mathbf{F}(r_1);h=\mathbf{F}(\iota_{A_1});r;\mathbf{G}(r_2)$ and $\mathbf{F}(\iota_{B_1});\mathbf{F}(r_1);h=\mathbf{F}(\iota_{B_1});r;\mathbf{G}(r_2)$.

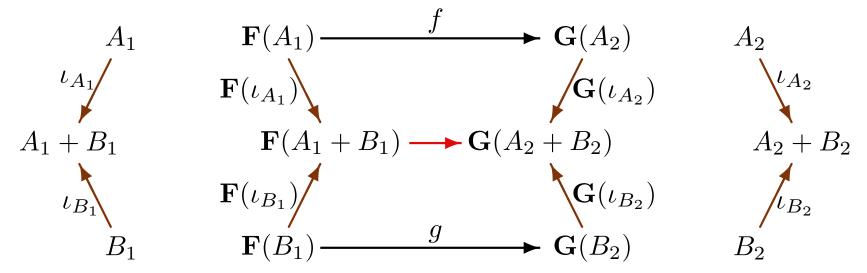


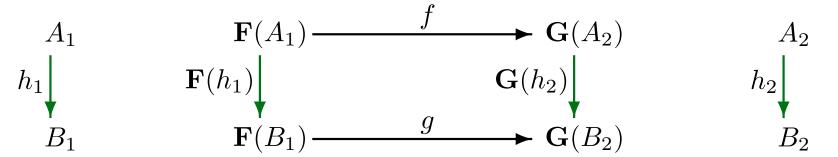
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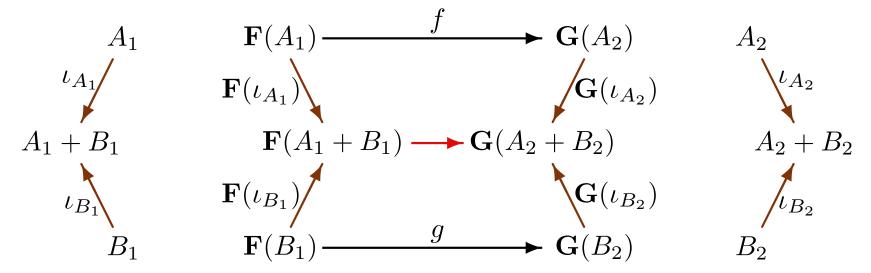


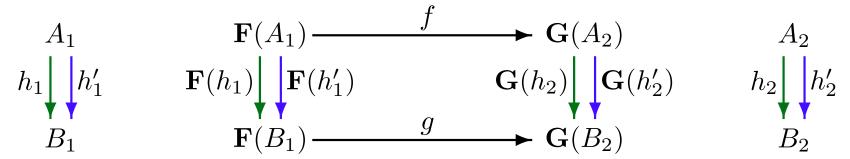


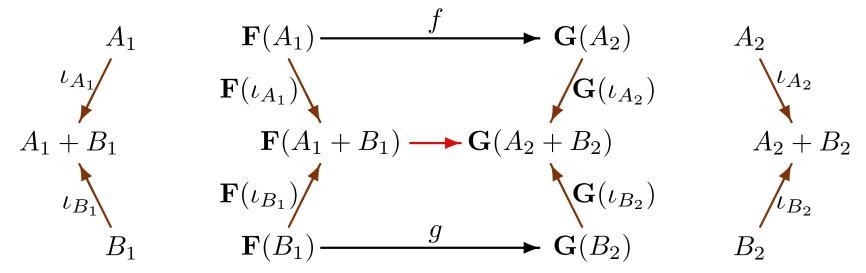
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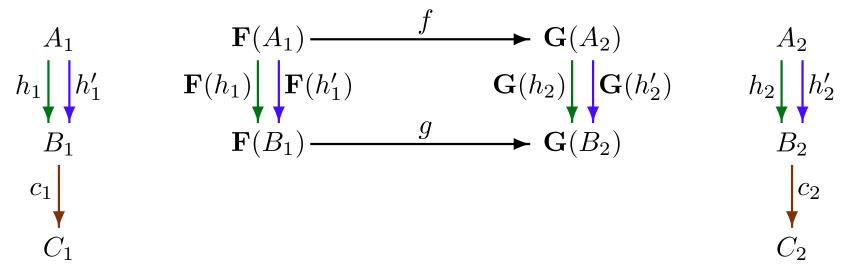


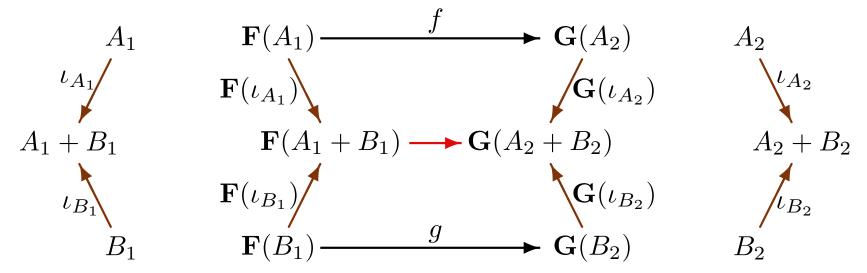


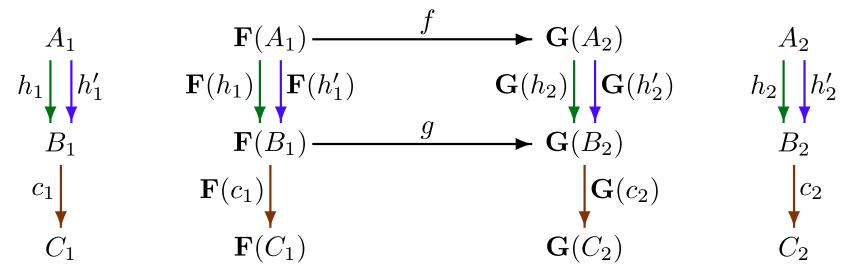


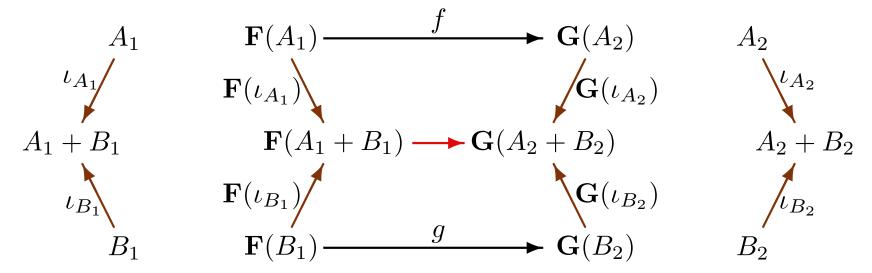


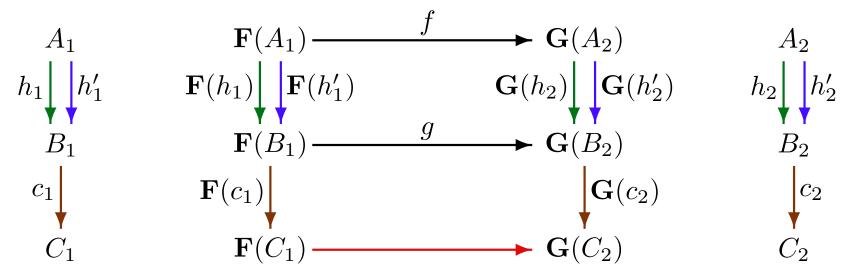












Standard example: $\mathbf{AlgSig}^{op} \to \mathbf{Cat}$

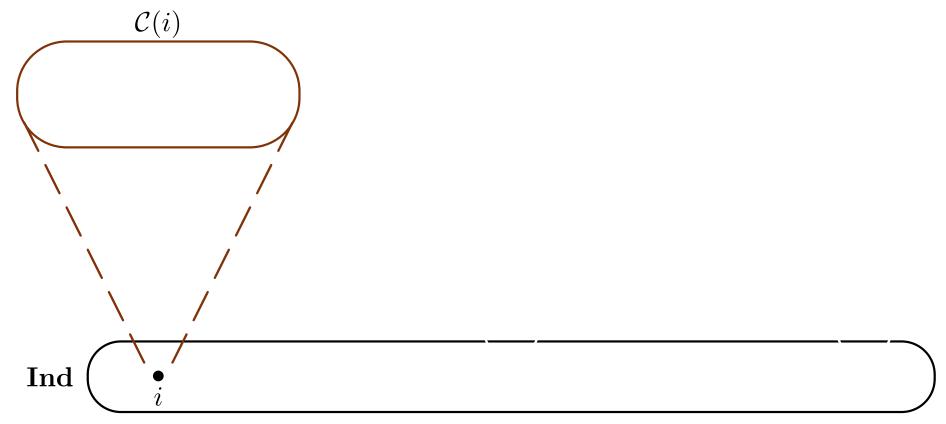
An indexed category is a functor

 $\mathcal{C} \colon \mathbf{Ind}^{op} o \mathbf{Cat}$

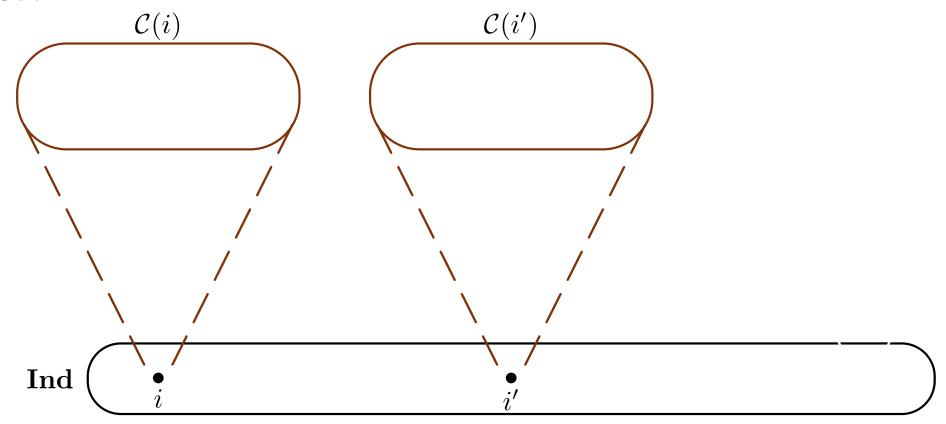
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 $\operatorname{Ind} \left(\begin{array}{c} \bullet \\ i \end{array} \right)$

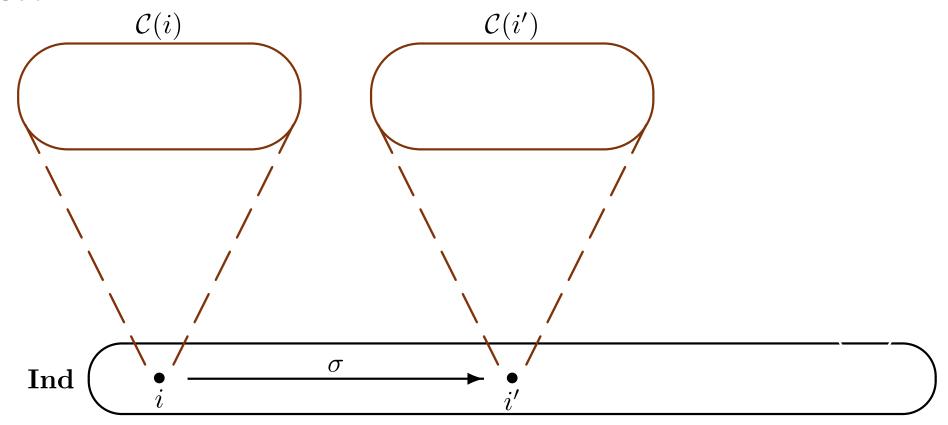
Cat

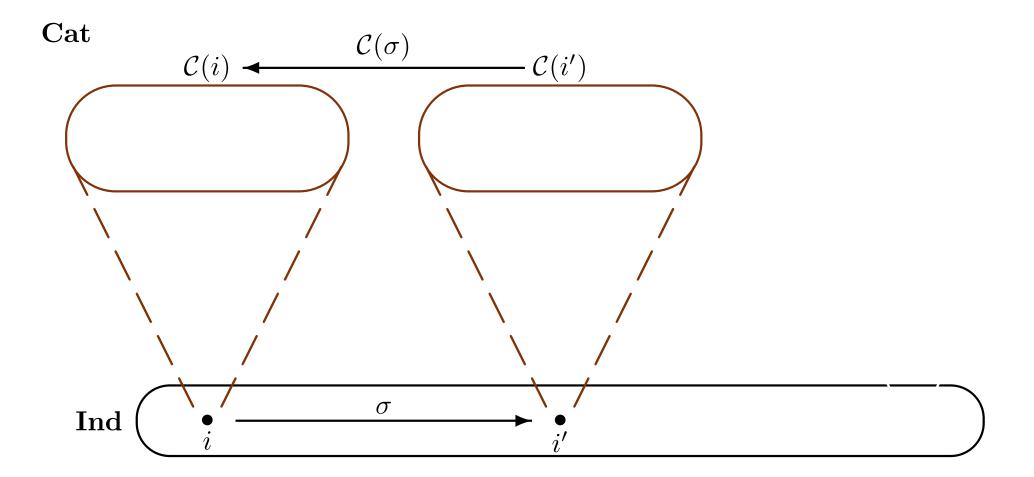


Cat



Cat





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The Grothendieck construction: Given $\mathcal{C} \colon \mathbf{Ind}^{op} \to \mathbf{Cat}$, define a category $\mathbf{Flat}(\mathcal{C})$:

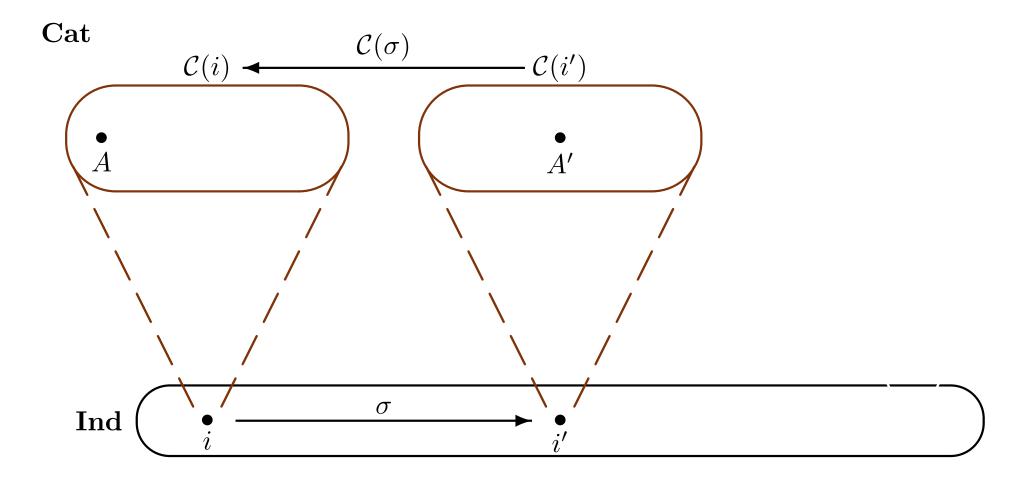
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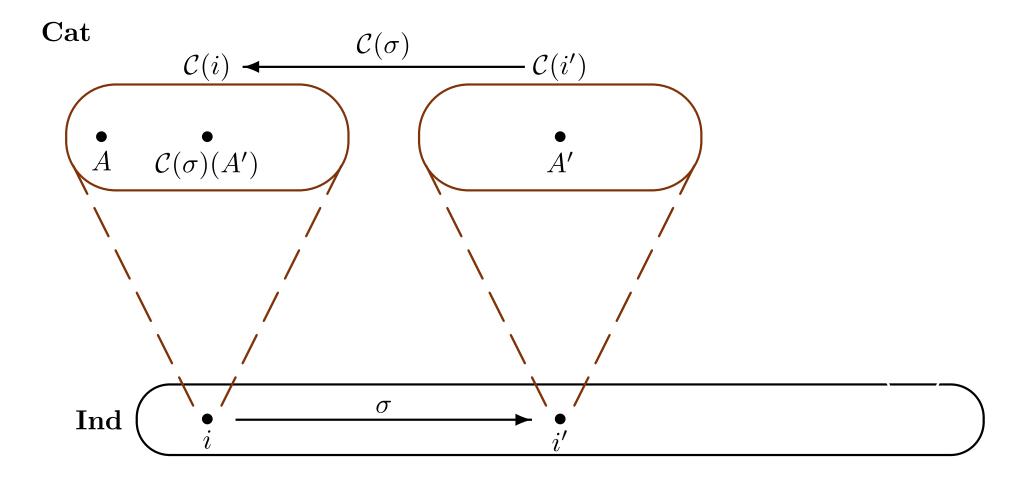
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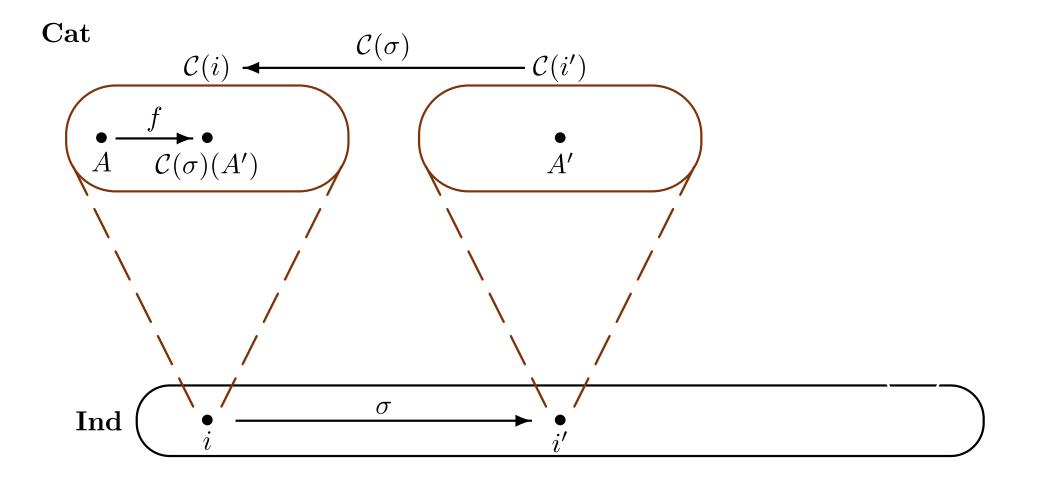
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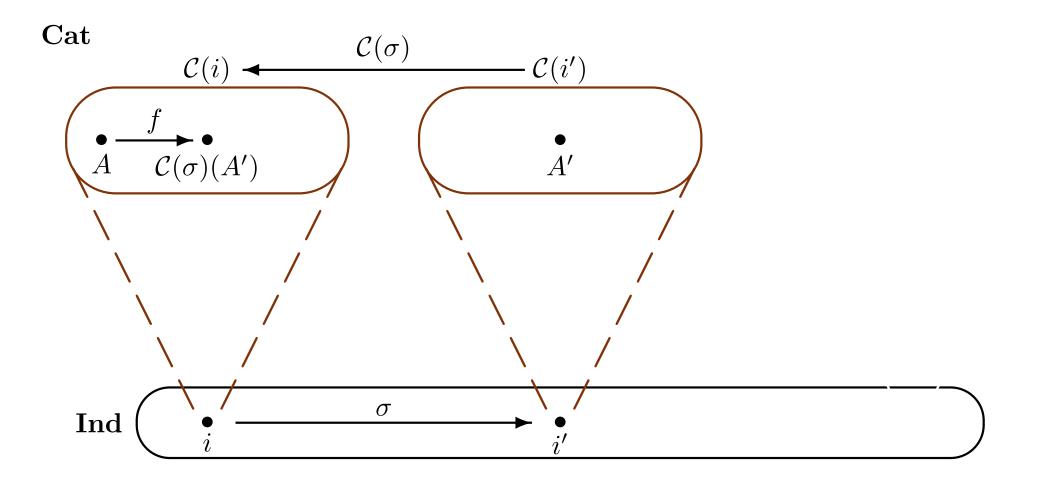
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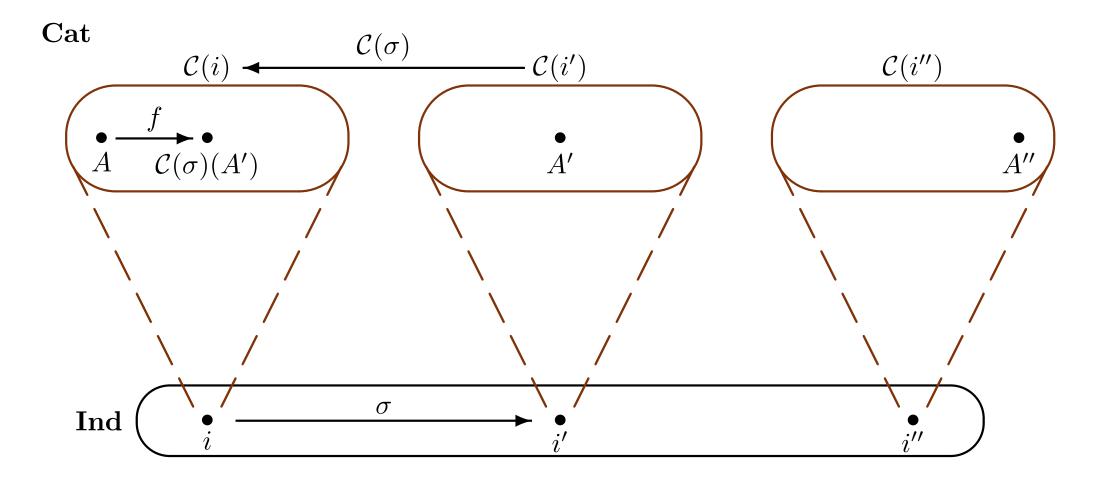
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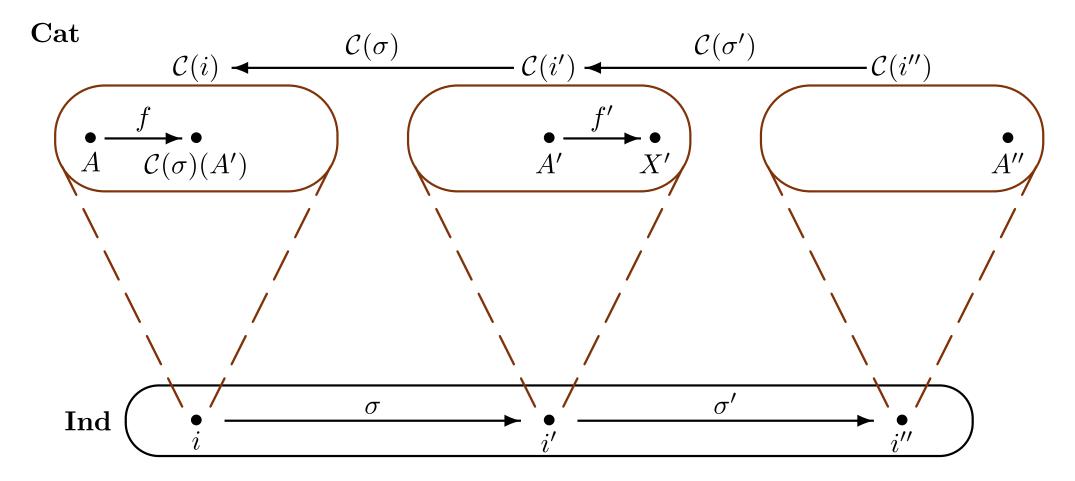
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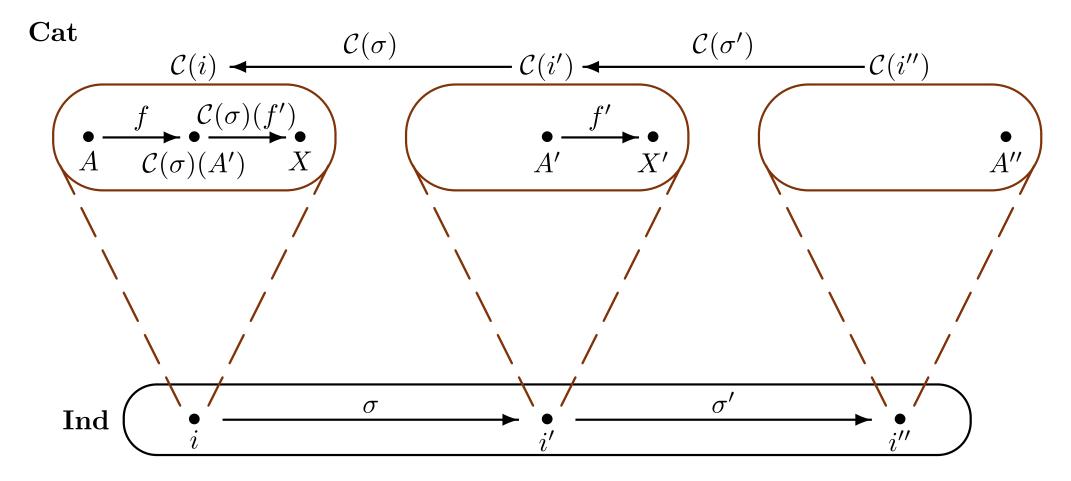
- objects: $\langle i, A \rangle$ for all $i \in |\mathbf{Ind}|$, $A \in |\mathcal{C}(i)|$
- morphisms: a morphism from $\langle i, A \rangle$ to $\langle i', A' \rangle$, $\langle \sigma, f \rangle : \langle i, A \rangle \rightarrow \langle i', A' \rangle$, consists of a morphism $\sigma : i \rightarrow j$ in **Ind** and a morphism $f : A \rightarrow \mathcal{C}(\sigma)(A')$ in $\mathcal{C}(i)$



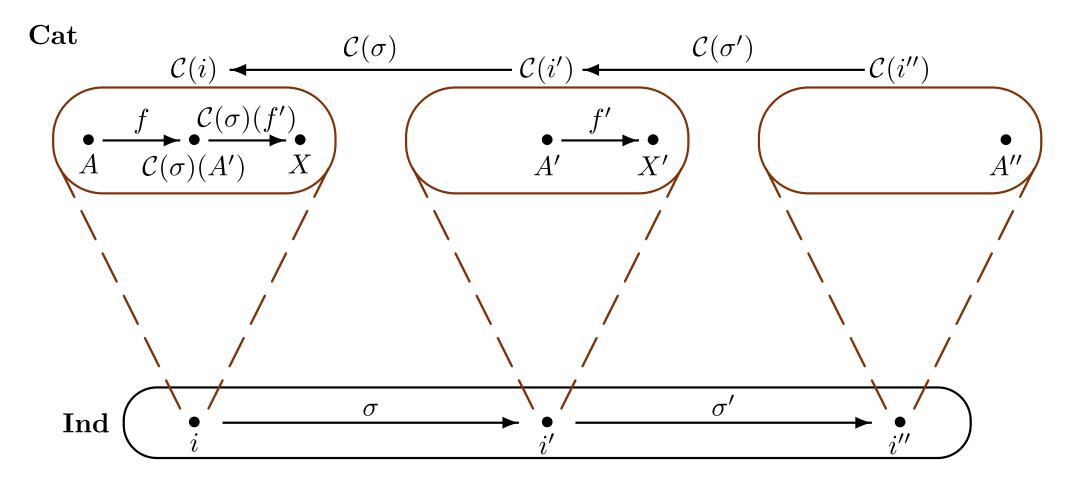




where
$$X' = \mathcal{C}(\sigma')(A'')$$



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This works fine, since $C(\sigma; \sigma') = C(\sigma'); C(\sigma)$, and so:

$$X=\mathcal{C}(\sigma)(\mathcal{C}(\sigma')(A''))=\mathcal{C}(\sigma;\sigma')(A''), \text{ and so } f;\mathcal{C}(\sigma)(f')\colon A\to \mathcal{C}(\sigma;\sigma')(A'').$$

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 $\mathcal{C} \colon \mathbf{Ind}^{op} o \mathbf{Cat}$

Standard example: $\mathbf{AlgSig}^{op} \to \mathbf{Cat}$

The Grothendieck construction: Given $\mathcal{C} \colon \mathbf{Ind}^{op} \to \mathbf{Cat}$, define a category $\mathbf{Flat}(\mathcal{C})$:

- objects: $\langle i, A \rangle$ for all $i \in |\mathbf{Ind}|$, $A \in |\mathcal{C}(i)|$
- $\ \underline{\underline{\text{morphisms}}}: \text{ a morphism from } \langle i,A \rangle \text{ to } \langle i',A' \rangle, \ \langle \sigma,f \rangle \colon \langle i,A \rangle \to \langle i',A' \rangle, \text{ consists} \\ \overline{\text{of a morphism } \sigma \colon i \to j \text{ in } \mathbf{Ind} \text{ and a morphism } f \colon A \to \mathcal{C}(\sigma)(A') \text{ in } \mathcal{C}(i)$
- <u>composition</u>: given $\langle \sigma, f \rangle \colon \langle i, A \rangle \to \langle i', A' \rangle$ and $\langle \sigma', f' \rangle \colon \langle i', A' \rangle \to \langle i'', A'' \rangle$, their composition in $\mathbf{Flat}(\mathcal{C})$, $\langle \sigma, f \rangle \colon \langle \sigma', f' \rangle \colon \langle i, A \rangle \to \langle i'', A'' \rangle$, is given by

 $\langle \sigma, f \rangle; \langle \sigma', f' \rangle = \langle \sigma; \sigma', f; \mathcal{C}(\sigma)(f') \rangle$

An *indexed category* is a functor

 $\mathcal{C} \colon \mathbf{Ind}^{op} o \mathbf{Cat}$

Standard example: $\mathbf{AlgSig}^{op} \to \mathbf{Cat}$

The Grothendieck construction: Given $\mathcal{C} \colon \mathbf{Ind}^{op} \to \mathbf{Cat}$, define a category $\mathbf{Flat}(\mathcal{C})$:

- objects: $\langle i, A \rangle$ for all $i \in |\mathbf{Ind}|$, $A \in |\mathcal{C}(i)|$
- morphisms: a morphism from $\langle i,A \rangle$ to $\langle i',A' \rangle$, $\langle \sigma,f \rangle \colon \langle i,A \rangle \to \langle i',A' \rangle$, consists of a morphism $\sigma \colon i \to j$ in \mathbf{Ind} and a morphism $f \colon A \to \mathcal{C}(\sigma)(A')$ in $\mathcal{C}(i)$
- <u>composition</u>: given $\langle \sigma, f \rangle \colon \langle i, A \rangle \to \langle i', A' \rangle$ and $\langle \sigma', f' \rangle \colon \langle i', A' \rangle \to \langle i'', A'' \rangle$, their composition in $\mathbf{Flat}(\mathcal{C})$, $\langle \sigma, f \rangle \colon \langle \sigma', f' \rangle \colon \langle i, A \rangle \to \langle i'', A'' \rangle$, is given by

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Theorem: If Ind is complete, C(i) are complete for all $i \in |\text{Ind}|$, and $C(\sigma)$ are continuous for all $\sigma: i \to j$ in Ind, then Flat(C) is complete.

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Try to formulate and prove a theorem concerning cocompleteness of $\mathbf{Flat}(\mathcal{C})$