# Typy proste

15 kwietnia 2013

## Simple types

#### **Types:**

- ► Type constant **0** is a type.
- ▶ If  $\sigma$  and  $\tau$  are types then  $(\sigma \to \tau)$  is a type.

Alternatywne podejście: inne stałe lub zmienne typowe.

#### Konwencja:

▶ Zamiast  $(\tau \to (\sigma \to \rho))$  piszemy  $\tau \to \sigma \to \rho$ .

Każdy typ ma postać  $\tau_1 \rightarrow \cdots \rightarrow \tau_n \rightarrow atom$ .

# Church style syntax (orthodox)

Assume infinite sets  $V_{\tau}$  of variables of each type  $\tau$ . Define sets  $T_{\tau}$  of terms of type  $\tau$ :

- ▶ A variable of type  $\tau$  is a term of type  $\tau$ ;
- ▶ If  $M \in T_{\sigma \to \tau}$  and  $N \in T_{\sigma}$  then  $(MN) \in T_{\tau}$ ;
- ▶ If  $M \in T_{\tau}$  and  $x \in V_{\sigma}$  then  $(\lambda x M) \in T_{\sigma \to \tau}$ .

Write  $M^{\sigma}$  for  $M \in T_{\sigma}$  and define beta-reduction by  $(\lambda x^{\sigma}. M^{\tau}) N^{\sigma} \Rightarrow M[x^{\sigma} := N].$ 

# Church and Curry

### Church style:

- ► New syntax, built-in types.
- Every term has exactly one type.
- ► No "untypable" terms.

### Curry style:

- Ordinary untyped lambda-terms.
- ► Types are derivable properties of terms.
- System of type assignment rules.
- A term may have many types or none.
- Typability not obvious.

#### Non-orthodox Church

Type-assignment with type annotations on bound variables.

$$\frac{\Gamma(x:\sigma) \vdash x:\sigma \text{ (Var)}}{\Gamma(x:\sigma) \vdash M:\tau} \frac{\Gamma(x:\sigma) \vdash M:\tau}{\Gamma \vdash \lambda x:\sigma M:\sigma \to \tau} \text{ (Abs)}$$

$$\frac{\Gamma \vdash M:\sigma \to \tau \quad \Gamma \vdash N:\sigma}{\Gamma \vdash M:\sigma} \text{ (App)}$$

Fact: If 
$$\Gamma \vdash M : \tau$$
 and  $\Gamma \vdash M : \sigma$  then  $\tau = \sigma$ .

### Relating systems

#### Orthodox Church terms are like

- ▶ Non-orthodox terms in a fixed infinite environment.
- ► Curry-style type derivations.

Konwencja: Typy jako górne indeksy, np.

$$(\lambda x^{\sigma} M^{\tau}) N^{\sigma} : \tau$$

### **Properties**

#### Subject reduction property:

Beta-eta reduction preserves types.

#### Strong normalization:

Every typed term is strongly normalizing.

### Definable functions

Liczebniki Churcha  $\mathbf{n}=\lambda fx$ .  $f^n(x)$  mają każdy typ postaci $\omega_\sigma=(\sigma\to\sigma)\to(\sigma\to\sigma)$ .

A function  $f: \mathbb{N}^k \to \mathbb{N}$  is  $\beta$ -definable in type  $\omega_{\sigma}$  if there is a closed term F such that

- $\blacktriangleright F: \omega_{\sigma} \to \cdots \to \omega_{\sigma} \to \omega_{\sigma};$
- ▶ If  $f(n_1, ..., n_k) = m$  then  $F \mathbf{n}_1 ... \mathbf{n}_k =_{\beta} \mathbf{m}$ .

## Examples

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- ► Multiplication:  $\lambda n^{\omega_{\sigma}} \lambda m^{\omega_{\sigma}} \lambda f^{\sigma \to \sigma} \lambda x^{\sigma} . n(mf) x$ ;
- ► Test for zero (if n=0 then m else k):  $\lambda n^{\omega_{\sigma}} \lambda m^{\omega_{\sigma}} \lambda k^{\omega_{\sigma}} \lambda f^{\sigma \to \sigma} \lambda x^{\sigma}. n(\lambda y^{\sigma}.kfx)(mfx).$

# Extended polynomials (wielomiany warunkowe)

The least class of functions containing:

- Addition;
- Multiplication;
- Test for zero;
- Constants zero and one;
- Projections,

and closed under compositions.

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Example: f(x,y) = \text{if } x = 0 \text{ then if } y = 0 \text{ then } p_1(x,y) else p_2(x,y) else if y = 0 then p_3(x,y) else p_4(x,y).
```

### Definable functions

Theorem (H. Schwichtenberg'76):

For every  $\sigma$  the functions beta-definable in type  $\omega_{\sigma}$  are exactly the extended polynomials.

### More definable functions

A function f is non-uniformly definable if there is a closed term F such that

- $\blacktriangleright F: \omega_{\sigma_1} \to \cdots \to \omega_{\sigma_k} \to \omega_{\sigma};$
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#### Examples:

► The predecessor function p(n) = n - 1 and the exponentiation function  $exp(m, n) = m^n$  are non-uniformly definable. (Easy)

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#### Examples:

- ► The predecessor function p(n) = n 1 and the exponentiation function  $exp(m, n) = m^n$  are non-uniformly definable. (Easy)
- ▶ The subtraction minus(m, n) = m n and equality test Eq(m, n) = if m = n then 0 else 1 are not definable non-uniformly. (Hard)

### Equality

Theorem (R. Statman'79): The equality problem

Are two well-typed terms beta-equal?

is non-elementary. That is, for no fixed k it is solvable in time

$$\left\{ \frac{2^{2^{-2^{-2^{-1}}}}}{2}\right\} k$$

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$$2^{2^{n}}$$

Exercise: How long is the normal form of  $2 \cdots 2xy$ ?

# The inhabitation problem

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### Fact (R. Statman):

Inhabitation in simple types is decidable and Pspace-complete.

- Natural numbers are generated by
  - Constant 0 : int;
  - Successor  $s : int \rightarrow int$ .

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- ▶ Words over  $\{a, b\}$  are generated by
  - Constant  $\varepsilon$  : word;
  - ► Two successors  $\lambda w(a \cdot w)$  and  $\lambda w(b \cdot w)$  of type **word**  $\rightarrow$  **word**.

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They correspond to long normal forms of type word  $= (0 \rightarrow 0) \rightarrow (0 \rightarrow 0) \rightarrow 0 \rightarrow 0$ 

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  - Constant nil : tree;
  - ▶ Constructor cons: tree  $\rightarrow$  tree  $\rightarrow$  tree.

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  - Constant nil : tree;
  - ▶ Constructor *cons* : tree  $\rightarrow$  tree  $\rightarrow$  tree.

They correspond to long normal forms of type

$$\mathsf{tree} = \big(0 \to 0 \to 0\big) \to 0 \to 0$$

#### Generalization:

Free algebras correspond to types of order two, i.e, of the form

$$ig(0^{n_1} 
ightarrow 0ig) 
ightarrow \cdots 
ightarrow ig(0^{n_k} 
ightarrow 0ig) 
ightarrow 0$$

## Type reducibility

**Definition**: Type  $\tau$  is *reducible* to type  $\sigma$  iff there exists a closed term  $\Phi: \tau \to \sigma$  such that the operator  $M:\tau$ .  $\Phi M$  is injective on closed terms, i.e.,  $\Phi M_1 =_{\beta\eta} \Phi M_2 \quad \text{implies} \quad M_1 =_{\beta\eta} M_2$  for closed  $M_1, M_2: \tau$ .

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$$\Phi M_1 =_{\beta\eta} \Phi M_2$$
 implies  $M_1 =_{\beta\eta} M_2$  for closed  $M_1, M_2 : \tau$ .

### Theorem (R. Statman):

Every type over a single type constant **0** is reducible to **tree**.

## Semantics for finite types

#### **Assumptions:**

- Orthodox Church style;
- ► Only one atomic type **0**;
- Extensional equality  $=_{\beta\eta}$

# Standard model $\mathfrak{M}(A)$

- ▶ Basic domain  $D_0 = A$ ;
- ▶ Function domains:  $D_{\sigma \to \tau} = D_{\sigma} \to D_{\tau}$ ;
- Obvious semantics:
  - $[x]_v = v(x)$ ;
  - $[MN]_{v} = [M]_{v}([N]_{v});$

## Completeness

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#### Proof:

Define partial surjections  $\varphi_{\sigma}: D_{\sigma} \longrightarrow T_{\sigma}/_{=\beta_{\eta}}$  by induction:

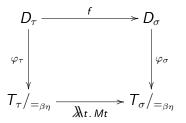
For  $\sigma=\mathbf{0}$  take  $\varphi_{\mathbf{0}}:\mathbb{N}\to\mathcal{T}_{\mathbf{0}}/_{=\beta\eta}$  to be any (total) surjection. (Terms of base type are represented by their numbers.)

For function types, we represent (the behaviour of) lambda-terms using integer functions, so that:

$$\varphi_{\sigma}(ab) = \varphi_{\tau \to \sigma}(a)\varphi_{\tau}(b).$$

## Completeness proof

Given  $\varphi_{\sigma}: D_{\sigma} \longrightarrow T_{\sigma}/_{=\beta\eta}$  and  $\varphi_{\tau}: D_{\tau} \longrightarrow T_{\tau}/_{=\beta\eta}$ , we say that a function  $f: D_{\tau} \longrightarrow D_{\sigma}$  represents a term  $M^{\tau \to \sigma}$  when (informally) the following diagram commutes:



For any M, there exists such an f (not unique). For a given f, such an M (if exists) is unique up to  $\beta\eta$ .

## Completeness proof

Define partial surjections  $\varphi_{\sigma}: D_{\sigma} \longrightarrow T_{\sigma}/_{=\beta_{\eta}}$  by induction:

- $ightharpoonup arphi_0: \mathbb{N} o \mathcal{T}_0/_{=_{\beta\eta}}$  is any (total) surjection.
- $\varphi_{\tau \to \sigma}(f) = [M]_{=_{\beta_{\eta}}}$  when f represents M.

Abbreviation: If  $d \in D_{\sigma}$ , write  $\overline{d}$  for  $\varphi_{\sigma}(d)$ .

#### Main property:

If  $\overline{f}$  and  $\overline{e}$  are defined then  $\overline{f(e)}$  is defined and  $\overline{f}$   $\overline{e} =_{\beta\eta} \overline{f(e)}$ 

"Partial epimorphism":  $\overline{f} \ \overline{e} =_{\beta\eta} \overline{f(e)}$ 

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## Completeness proof

#### Lemma:

Take v so that  $\overline{v(x)} = x$ , for all x. Then  $M =_{\beta \eta} \overline{\llbracket M \rrbracket_v}$ , all M.

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Main Proof: Let  $\mathfrak{M}(\mathbb{N}) \models M = N$ . Then  $\llbracket M \rrbracket_{\nu} = \llbracket N \rrbracket_{\nu}$ , for all  $\nu$ , in particular for  $\nu$  as above. Therefore

$$M =_{\beta\eta} \overline{\llbracket M \rrbracket_{\mathsf{v}}} =_{\beta\eta} \overline{\llbracket N \rrbracket_{\mathsf{v}}} =_{\beta\eta} N.$$

### Finite completeness

# Theorem (R. Statman):

For every M there is k such that, for all N:

$$M =_{\beta\eta} N$$
 iff  $\mathfrak{M}(k) \models M = N$ .

#### Corollary:

Terms are  $\beta\eta$ -equal iff they are equal in all finite models.

# Finite completeness proof

#### It suffices to prove that

for every closed M: tree there is k such that, for all N: tree:

$$M =_{\beta\eta} N$$
 iff  $\mathfrak{M}(k) \models M = N$ .

Indeed, for closed  $M: \tau$ , consider  $\Phi(M)$ , where  $\Phi$  is a reduction of  $\tau$  to tree.

For non-closed terms, consider appropriate lambda-closures.

Let  $p(m)(n) = 2^m(2n+1)$ . Then  $p \in D_{0\to 0\to 0}$  in  $\mathfrak{M}(\mathbb{N})$ .

Observe that p(m)(n) > m, n, for all m, n.

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Wartość  $[\![M]\!](p)(0)$  można uważać za numer tego drzewa.

**Ćwiczenie**: Jaka liczba jest numerem drzewa  $\lambda px. px(p(pxx)x)$ ?

For M : tree, define k = 2 + [M](p)(0), i.e. 2 + numer(M).

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Suppose 
$$\mathfrak{M}(k) \models M = N$$
. Then in the model  $\mathfrak{M}(k)$ :  

$$k - 2 = \llbracket M \rrbracket(p')(0) = \llbracket N \rrbracket(p')(0) \tag{*}$$

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$$k-2 = [M](p')(0) = [N](p')(0)$$
 (\*)

But all numbers needed to verify (\*) are at most k-2. (Otherwise the rhs equals k-1.)

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But all numbers needed to verify (\*) are at most k-2. (Otherwise the rhs equals k-1.)

Therefore  $\llbracket M \rrbracket(p)(0) = \llbracket N \rrbracket(p)(0)$  holds also in  $\mathfrak{M}(\mathbb{N})$ . It follows that  $M =_{\beta \eta} N$ .

There is no  $E: \omega_{\tau} \to \omega_{\sigma} \to \omega_{\rho}$ , such that for all  $p, q \in \mathbb{N}$ :

$$E \mathbf{p}^{\omega_{\tau}} \mathbf{q}^{\omega_{\sigma}} =_{\beta\eta} \mathbf{0}^{\omega_{\rho}} \quad \text{iff} \quad p = q.$$

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 iff  $p = q$ .

Proof: By Statman's thm., take k such that for all  $N:\omega_{\rho}$ :

$$\mathfrak{M}(k) \models \mathbf{0}^{\omega_{\rho}} = N$$
 iff  $\mathbf{0}^{\omega_{\rho}} =_{\beta\eta} N$ .

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 iff  $\rho = q$ .

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 iff  $\mathbf{0}^{\omega_{\rho}} =_{\beta\eta} N$ .

There are  $p \neq q$  with  $\llbracket \mathbf{p}^{\omega_{\tau}} \rrbracket = \llbracket \mathbf{q}^{\omega_{\tau}} \rrbracket$  in  $\mathfrak{M}(k)$ .

There is no 
$$E: \omega_{\tau} \to \omega_{\sigma} \to \omega_{\rho}$$
, such that for all  $p, q \in \mathbb{N}$ :

$$E \mathbf{p}^{\omega_{\tau}} \mathbf{q}^{\omega_{\sigma}} =_{\beta\eta} \mathbf{0}^{\omega_{\rho}}$$
 iff  $p = q$ .

Proof: By Statman's thm., take 
$$k$$
 such that for all  $N:\omega_{\rho}$ : 
$$\mathfrak{M}(k)\models \mathbf{0}^{\omega_{\rho}}=N \qquad \text{iff} \qquad \mathbf{0}^{\omega_{\rho}}=_{\beta\eta}N.$$
 There are  $p\neq q$  with  $\llbracket \mathbf{p}^{\omega_{\tau}}\rrbracket=\llbracket \mathbf{q}^{\omega_{\tau}}\rrbracket$  in  $\mathfrak{M}(k)$ . So in  $\mathfrak{M}(k)$ : 
$$\llbracket E\mathbf{p}^{\omega_{\tau}}\mathbf{q}^{\omega_{\sigma}}\rrbracket=\llbracket E\rrbracket\llbracket \mathbf{p}^{\omega_{\tau}}\rrbracket\llbracket \mathbf{q}^{\omega_{\sigma}}\rrbracket=\llbracket E\rrbracket\llbracket \mathbf{q}^{\omega_{\tau}}\rrbracket\llbracket \mathbf{q}^{\omega_{\sigma}}\rrbracket=\llbracket E\mathbf{q}^{\omega_{\tau}}\mathbf{q}^{\omega_{\sigma}}\rrbracket=\llbracket E\mathbf{q}^{\omega_{\tau}}\mathbf{q}^{\omega_{\sigma}}\end{bmatrix}=\llbracket E\mathbf{q}^{\omega_{\tau}}\mathbf{q}^{\omega_{\sigma}}$$

# Plotkin's problem

Given  $d \in D_{\tau}$  in a finite model  $\mathfrak{M}(X)$ . Is there a term  $M : \tau$  with  $\llbracket M \rrbracket = d$ ?

#### More generally:

Let 
$$v(x_1)=e_1\in D_{\sigma_1},\ldots,v(x_n)=e_n\in D_{\sigma_n}.$$
 Is there  $M$  such that  $[\![M]\!]_v=d?$  (Is  $d$  definable from  $e_1,\ldots,e_n?$ )

Fact: These decision problems are reducible to each other.

Theorem (Ralph Loader, 1993):

Plotkin's problem is undecidable.

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Semi-Thue system: a finite set of rules  $C \Rightarrow D$ , where  $C, D \subseteq \{a, b\}^*$ . Induces rewriting  $xCy \rightarrow xDy$ , for any x, y. Word problem: Can a word w be rewritten to v in a finite number of steps?

Theorem (Ralph Loader, 1993):

Plotkin's problem is undecidable.

Proof: Reduction from the undecidable word problem for Semi-Thue systems.

Kodujemy słowa w i v i reguły systemu jako elementy modelu Pytamy, czy v jest definiowalne z w i reguł.

### Proof

Take  $X = \{a, b, L, R, *, 1, 0\}$ . Encode any word  $w = o_1 \dots o_n$  as a function  $\overline{w} : D_0^n \to D_0$ , such that

- $\overline{w}(*\cdots*o_i*\cdots*)=1$ , if the *i*-th symbol in w is  $o_i$ ;
- $\overline{w}(*\cdots*LR*\cdots*)=1;$
- $ightharpoonup \overline{w}(\ldots) = 0$ , otherwise.

### How does it work?

For  $w = w_1 C w_2$  we have  $\overline{w} = \lambda \vec{x} \lambda \vec{y} \lambda \vec{z} . \overline{w}(\vec{x})(\vec{y})(\vec{z})$ .

### How does it work?

For  $w = w_1 C w_2$  we have  $\overline{w} = \lambda k \vec{x} \lambda k \vec{y} \lambda k \vec{z} \cdot \overline{w}(\vec{x})(\vec{y})(\vec{z})$ .

Fix  $\vec{x}$ ,  $\vec{z}$  and consider the function  $g = \lambda \vec{y}$ .  $\overline{w}(\vec{x})(\vec{y})(\vec{z})$ . It "accepts" the following strings (depending on  $\vec{x}$ ,  $\vec{z}$ ):

$\vec{x}$	$\vec{y}$	$\vec{z}$
* · · · * O; * · · · *	* · · · · · *	* · · · · · *
* · · · · · *	same as $\overline{C}$	* · · · · · *
* · · · · · *	* • • • • • • •	* · · · * O; * · · · *
*···* <i>LR</i> *···*	* · · · · · *	* · · · · · *
* · · · · · * L	<i>R</i> * · · · · · *	* · · · · · *
* · · · · · *	* · · · · · * L	<i>R</i> * · · · · · *
* · · · · · *	* · · · · · *	*···* <i>LR</i> *···*

### How does it work?

Fix  $\vec{x}$ ,  $\vec{z}$  and consider the function  $g = \lambda \vec{y}$ .  $\overline{w}(\vec{x})(\vec{y})(\vec{z})$ .

Depending on  $\vec{x}$ ,  $\vec{z}$ , the function g is as follows:

$\vec{X}$	g	$\vec{z}$
* · · · * O; * · · · *	χ{*···*}	* · · · · · *
* · · · · · *	$\overline{C}$	* · · · · · *
* · · · · · *	χ <sub>{*···*}</sub>	* · · · * O <sub>i</sub> * · · · *
* · · · * LR * · · · *	χ <sub>{*···*}</sub>	* · · · · · *
* · · · · · * L	$\chi_{\{R*\cdots *\}}$	* · · · · · *
* · · · · · *	$\chi_{\{*\cdots*L\}}$	<i>R</i> * · · · · · *
* · · · · · *	χ{*···*}	* · · · * LR * · · · *

Otherwise  $g = \chi_{\varnothing}$ 

How to encode a rule  $F = (C \Rightarrow D)$ ?

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Fix  $\vec{x}, \vec{z}$  and consider the function  $g = \lambda \vec{y} \cdot \overline{w}(\vec{x})(\vec{y})(\vec{z})$ .

What will change in this table if we replace  $w_1 C w_2$  by  $w_1 D w_2$ ?

$\vec{x}$	g	$\vec{z}$
$*\cdots * o_i * \cdots *$	$\chi_{\{*\cdots *\}}$	* • • • • • • •
* · · · · · *	$\overline{C}$	* · · · · · *
* · · · · · *	χ{*···*}	* · · · * O <sub>i</sub> * · · · *
* · · · * LR * · · · *	χ{*···*}	* · · · · · *
* · · · · · * L	$\chi_{\{R*\cdots *\}}$	* · · · · · *
* · · · · · *	$\chi_{\{*\cdots*L\}}$	<i>R</i> * · · · · · *
* · · · · · *	χ{*···*}	* · · · * LR * · · · *
otherwise	χø	otherwise

# How to encode a rule $F = (C \Rightarrow D)$

Every rule  $F = (C \Rightarrow D)$  is encoded as a function  $\overline{F}: (D_0^m \to D_0) \to (D_0^n \to D_0)$ .

where m = |C| and n = |D|. We take:

- $\blacktriangleright \overline{F}(\chi_{\{*\cdots*\}}) = \chi_{\{*\cdots*\}};$
- $\overline{F}(\chi_{\{R*\cdots*\}}) = \chi_{\{R*\cdots*\}};$
- $\overline{F}(\chi_{\{*\cdots*L\}}) = \chi_{\{*\cdots*L\}};$
- $\blacktriangleright \overline{F}(\overline{C}) = \overline{D};$
- $ightharpoonup \overline{F}(g)=\chi_\varnothing$ , for any other g.

### Claim

A word w can be rewritten to v iff the element  $\overline{v}$  of  $\mathfrak{M}(X)$  is definable from  $\overline{w}$  and the functions  $\overline{F}$  encoding the rules.

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The easy part: Let  $w = w_1 C w_2$  rewrites to  $v = w_1 D w_2$  using  $F = (C \Rightarrow D)$ . Assume that term W defines  $\overline{w}$ . Then  $\overline{v}$  is definable by

$$V = \lambda \vec{x} \vec{u} \vec{z}, \ \overline{F}(\lambda \vec{y}. \ W \vec{x} \vec{y} \vec{z}) \vec{u}, \tag{*}$$

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The hard part: And conversely.